

## Lecture 3: Tensor algebra

Logistics: - HW1 due 7/7 ✓

- HW2 will be posted

Last time: - Tensor algebra

- Dyadic products  $\underline{a} \otimes \underline{b}$
- Transpose / Sym.-Skew decomp.
- Trace / Scalar product.
- Determinant / Inverse
- Projection tensors

Today: - Orthogonal tensors

- Change in basis / representation
- Invariance of trace & determinant
- Eigenproblem / Spectral decomp.
- Tensor square root / Polar decomp.

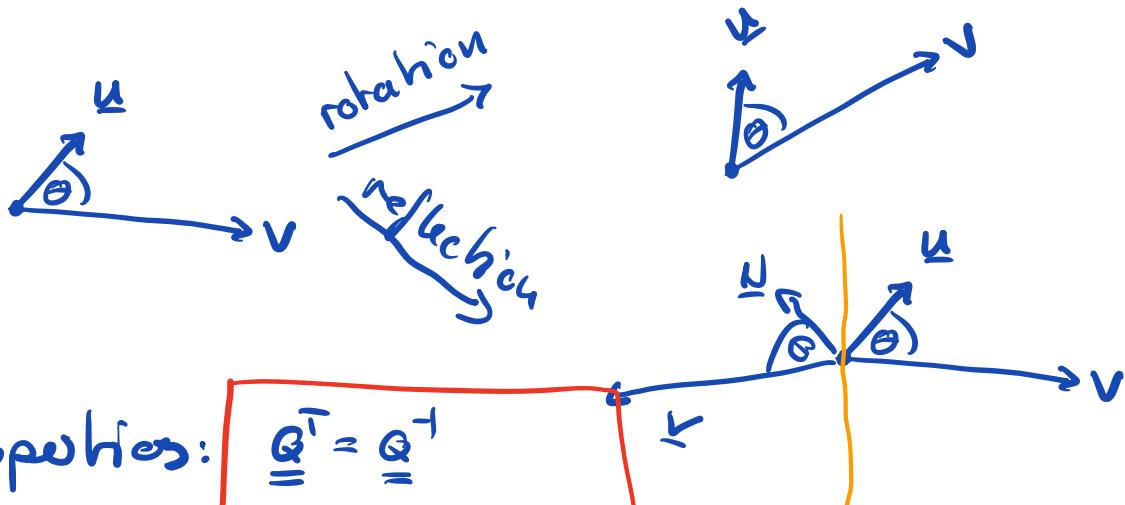
## Orthogonal tensors

orth. tensor  $\underline{\underline{Q}} \in \mathcal{V}^2$  is a linear transf.

$$\boxed{\underline{u} \cdot \underline{v} = (\underline{\underline{Q}} \underline{u}) \cdot (\underline{\underline{Q}} \underline{v})} \quad \text{for all } \underline{u}, \underline{v} \in \mathcal{V}$$

$$\underline{u} \cdot \underline{v} = |\underline{u}| |\underline{v}| \cos(\theta)$$

$\Rightarrow$  preserves lengths and angle



Properties:

$$\boxed{\begin{aligned}\underline{\underline{Q}}^T &= \underline{\underline{Q}}^{-1} \\ \underline{\underline{Q}}^T \underline{\underline{Q}} &= \underline{\underline{Q}} \underline{\underline{Q}}^T = \underline{\underline{I}} \\ \det(\underline{\underline{Q}}) &= \pm 1\end{aligned}}$$

$$\begin{aligned}\det(\underline{\underline{I}}) &= 1 \Rightarrow \det(\underline{\underline{Q}}^T \underline{\underline{Q}}) &= \det(\underline{\underline{Q}}^T) \det(\underline{\underline{Q}}) = \\ &= \det(\underline{\underline{Q}})^2 = 1\end{aligned}$$

If  $\det(\underline{\underline{Q}}) = 1 \rightarrow$  rotation (pres. handedness)

$\det(\underline{\underline{Q}}) = -1 \rightarrow$  reflection (changes handedness)

## Change in basis

Both  $\underline{v} \in \mathcal{V}$  and  $\underline{\underline{A}} \in \mathcal{V}^2$  are invariant

upon change of basis, but their representations  
[ $v$ ] and [ $A$ ] are not.

Consider  $\{\underline{e}_i\}$  and  $\{\underline{e}'_i\}$

representation of  $\underline{e}'_j$  in  $\{\underline{e}_i\}$

$$\begin{aligned}\underline{e}'_j &= (\underline{e}_1 \cdot \underline{e}'_j) \underline{e}_1 + (\underline{e}_2 \cdot \underline{e}'_j) \underline{e}_2 + (\underline{e}_3 \cdot \underline{e}'_j) \underline{e}_3 \\ &= (e_i \cdot \underline{e}'_j) \underline{e}_i\end{aligned}$$

$$\underline{e}'_j = A_{ij} \underline{e}_i$$

↑  
note transpose

$$A_{ij} = \underline{e}_i \cdot \underline{e}'_j$$

$$\underline{\underline{A}} = A_{ij} v_j$$

Here  $\underline{\underline{A}}$  is change of basis tensor

$$\underline{\underline{A}} = A_{ij} \underline{e}_i \otimes \underline{e}'_j$$

$$A_{ij} = \underline{e}_i \cdot \underline{e}'_j$$

Note:  $\underline{e}_i \cdot \underline{e}'_j = \delta_{ij}$     $\underline{e}_i \cdot \underline{e}'_j + \delta_{ij}$

$$[\underline{\underline{A}}]_{ij} = A_{ij} \neq [A']_{ij}$$

Similarly we can express  $\underline{e}_i$  in  $\{\underline{e}'_k\}$

$$\underline{e}_i = (\underline{e}_i \cdot \underline{e}'_k) \underline{e}'_k = A_{ik} \underline{e}'_k$$

↑  
not transposed

We have:  $\underline{e}'_j = A_{ij} \underline{e}_i$        $\underline{e}_i = A_{ik} \underline{e}'_k$

$$\underline{e}'_j = A_{ij} A_{ik} \underline{e}'_k$$

$$\underline{e}'_j = \delta_{jk} \underline{e}'_k$$

$$\Rightarrow A_{ij} A_{ik} = \delta_{jk}$$

$$\underline{e}_i = A_{ik} A_{ek} \underline{e}_e$$

$$\underline{e}_i = \delta_{il} \underline{e}_e$$

$$\Rightarrow A_{ik} A_{ek} = \delta_{il}$$

$$\underline{\underline{A}} = \underline{\underline{B}} \Rightarrow A_{ij} B_{jk}$$

$$\underline{\underline{A}}^T \underline{\underline{A}} = \underline{\underline{A}} \underline{\underline{A}}^T = \underline{\underline{I}}$$

$\underline{\underline{A}}$  is orthogonal

since both  $\{\underline{e}_i\}$  and  $\{\underline{e}'_i\}$  are right-handed

$\Rightarrow \underline{\underline{A}}$  is rotation  $\Rightarrow \det \underline{\underline{A}} = 1$

## Change in representation

Consider  $\underline{v} \in V$  and  $\underline{\underline{s}} \in V'$   
with representations

$$[\underline{v}] \quad [\underline{\underline{s}}] \quad \text{in } \{\underline{e}_i\}$$

$$[\underline{v}'] \quad [\underline{\underline{s}}'] \quad \text{in } \{\underline{\underline{e}}_i\}$$

$$[\underline{v}] \neq [\underline{v}'] \quad [\underline{\underline{s}}] \neq [\underline{\underline{s}}']$$

Then  $[\underline{v}] = [\underline{A}] [\underline{v}]' \quad [\underline{v}]' = [\underline{\underline{A}}]^T [\underline{v}]$

to see this  $\underline{v} = v_i \underline{e}_i = v'_j \underline{\underline{e}}'_j$

with  $\underline{\underline{e}}'_j = A_{ij} \underline{e}_i$  substitute

$$v_i \underline{e}_i = v'_j A_{ij} \underline{e}_i$$

$$v_i = A_{ij} v'_j$$

Similarly

$$[\underline{\underline{s}}] = [\underline{\underline{A}}] [\underline{\underline{s}}]' [\underline{\underline{A}}]^T$$

$$[\underline{\underline{s}}]' = [\underline{\underline{A}}]^T [\underline{\underline{s}}] [\underline{\underline{A}}]$$

⇒ HW2

Show  $\text{tr}(\underline{\underline{s}})$  and  $\det(\underline{\underline{s}})$  are invariant  
under change in basis.

## Invariance of trace

For  $\underline{\underline{S}} \in \mathcal{V}^2$  with  $[\underline{\underline{S}}]$  in  $\{\underline{\underline{e}}_{ii}\}$  and  
 $[\underline{\underline{S}}']'$  in  $\{\underline{\underline{e}}'_{ii}\}$

$$\text{tr}[\underline{\underline{S}}] = \text{tr}[\underline{\underline{S}}']$$

$$\text{tr}[\underline{\underline{S}}] = [\underline{\underline{S}}]_{ii}$$

$$[\underline{\underline{S}}] = [\underline{\underline{A}}] [\underline{\underline{S}}]' [\underline{\underline{A}}]^T$$

$$[\underline{\underline{S}}]_{ij} = [\underline{\underline{A}}]_{ik} [\underline{\underline{S}}]_{ke}' [\underline{\underline{A}}]_{jl} \quad [\underline{\underline{A}}]_{ij}^T = [\underline{\underline{A}}]_{jl}$$

$$\begin{aligned} \text{tr}[\underline{\underline{S}}] &= [\underline{\underline{S}}]_{ii} = [\underline{\underline{A}}]_{ik} [\underline{\underline{S}}]_{kl}' [\underline{\underline{A}}]_{il} \\ &= \underbrace{[\underline{\underline{A}}]_{ik} [\underline{\underline{A}}]_{il}}_{S_{kl}} [\underline{\underline{S}}]_{kl}' = [\underline{\underline{S}}]_{kk}' = \text{tr}[\underline{\underline{S}}'] \end{aligned}$$

## Invariance of determinant

$$\det[\underline{\underline{S}}] = \det[\underline{\underline{S}}'] \Rightarrow \text{HWZ}$$

$\uparrow$   
 $A \subseteq A'$

$\Rightarrow$  constitutive theory

## Eigenvalues & eigenvectors of tensors

$(\lambda, \underline{v})$  eigen pair of  $\underline{\underline{S}} \in V^2$

$$\underline{\underline{S}} \underline{v} = \lambda \underline{v}$$

$\lambda$  = eigen value     $\underline{v}$  = eigen vector

$\lambda$ 's are roots of char. polynomial

$$p(\lambda) = \det(\underline{\underline{S}} - \lambda \underline{\underline{I}}) = 0$$

For each  $\lambda_p$  we have one or more  $\underline{v}_p$

$$(\underline{\underline{S}} - \lambda_p \underline{\underline{I}}) \underline{v}_p = 0$$

Continuum mechanics interested

in symmetric tensors  $\underline{\underline{S}} = \underline{\underline{S}}^T$

For  $\underline{\underline{S}} = \underline{\underline{S}}^T$ :

1) All  $\lambda$ 's real

2) All  $\lambda$ 's are pos. ( $\underline{\underline{S}}$  is sym. pos. def)

3) All  $\underline{v}_p$  corresponding to distinct  $\lambda_p$ 's are orthonormal

$\underline{S}$  is sym. pos. def. (s.p.d)

if  $\underline{v} \cdot \underline{S} \underline{v} > 0$  for all  $\underline{v} \in \mathcal{V}$

use def of eigen pair  $\underline{S} \underline{v} = \lambda \underline{v}$

$$\underline{v} \cdot (\lambda \underline{v}) \geq 0$$

$$\lambda \underbrace{|\underline{v}|^2}_{>0} \geq 0 \Rightarrow \lambda \geq 0$$

Consider  $(\lambda, \underline{v})$  and  $(\omega, \underline{u})$

$$\lambda \neq \omega \quad \underline{S} \underline{v} - \lambda \underline{v} \quad \underline{S} \underline{u} = \omega \underline{u}$$

$$\text{Consider } \lambda(\underline{v} \cdot \underline{u}) = (\lambda \underline{v} \cdot \underline{u}) = (\underline{S} \underline{v} \cdot \underline{u})$$

$$\underline{v} \cdot \underline{S} \underline{u} = \underline{v} \cdot (\omega \underline{u}) = \omega (\underline{v} \cdot \underline{u})$$

$$\lambda (\underline{v} \cdot \underline{u}) = \omega (\underline{v} \cdot \underline{u}) \quad \lambda = \omega$$

$$\Rightarrow \underline{v} \cdot \underline{u} = 0$$

## Spectral decomposition

If  $\underline{S} \in \mathcal{V}$  is  $\underline{S} = \underline{S}^\top$  there exist a frame  $\{\underline{v}_i\}$  such that

$$\underline{S} = \sum_{i=1}^3 \lambda_i \underline{v}_i \otimes \underline{v}_i$$

$$[\underline{S}] = \begin{bmatrix} \lambda_1 & 0 & 0 \\ 0 & \lambda_2 & 0 \\ 0 & 0 & \lambda_3 \end{bmatrix}$$

Since  $\underline{v}_i$  are orthonormal:  $\underline{I} = \underline{v}_i \otimes \underline{v}_i$

$$\begin{aligned} \underline{S} = \underline{S} \underline{I} &= \underline{S} (\underline{v}_i \otimes \underline{v}_i) = (\underline{S} \underline{v}_i \otimes \underline{v}_i) \\ &= \sum_{i=1}^3 (\lambda_i \underline{v}_i) \otimes \underline{v}_i \\ &= \sum_{i=1}^3 \lambda_i (\underline{v}_i \otimes \underline{v}_i) \end{aligned}$$

used  $A(\underline{u} \otimes \underline{v}) = (\underline{A}\underline{u} \otimes \underline{v}) \Rightarrow \text{HwZ}$

$$\alpha(\underline{u} \otimes \underline{v}) = (\alpha \underline{u} \otimes \underline{v})$$

The principal invariants of  $\underline{\underline{S}} \in V^2$  are

$$I_1(\underline{\underline{S}}) = \text{tr}(\underline{\underline{S}}) = \lambda_1 + \lambda_2 + \lambda_3$$

$$I_2(\underline{\underline{S}}) = \frac{1}{2} \left( (\text{tr} \underline{\underline{S}})^2 - \text{tr}(\underline{\underline{S}}^2) \right) = \lambda_1 \lambda_2 + \lambda_2 \lambda_3 + \lambda_1 \lambda_3$$

$$I_3(\underline{\underline{S}}) = \det(\underline{\underline{S}}) = \lambda_1 \lambda_2 \lambda_3$$

These three scalars are frame invariant

Set of invariants  $I_S = \{I_1(\underline{\underline{S}}), I_2(\underline{\underline{S}}), I_3(\underline{\underline{S}})\}$

Rewrite characteristic polynomial

$$\det(\underline{\underline{S}} - \lambda \underline{\underline{I}}) = -\lambda^3 + I_1(\underline{\underline{S}}) \lambda^2 - I_2(\underline{\underline{S}}) \lambda + I_3(\underline{\underline{S}}) = 0$$

write out char. poly and collect terms

Consider:  $\underline{\underline{S}} \underline{\underline{S}} \underline{v} = \lambda \underline{\underline{S}} \underline{v} = \lambda^2 \underline{v}$

in general  $\underline{\underline{S}}^\alpha \underline{v} = \lambda^\alpha \underline{v}$

Multiply char. poly by  $\underline{v}$

$$-\lambda^3 \underline{v} + I_1 \lambda^2 \underline{v} - I_2 \lambda \underline{v} + I_3 \underline{v} = 0 \underline{v} = 0$$

$$-\underline{\underline{S}}^3 \underline{v} + I_1 \underline{\underline{S}}^2 \underline{v} - I_2 \underline{\underline{S}} + I_3 \underline{v} = \underline{0} \quad \text{for all } \underline{v} \in V$$

$$\Rightarrow \boxed{-\underline{\underline{S}}^3 + I_1(\underline{\underline{S}}) \underline{\underline{S}}^2 - I_2(\underline{\underline{S}}) \underline{\underline{S}} + I_3(\underline{\underline{S}}) = \underline{0}}$$

Cayley-Hamilton theorem

Tensor square root

If  $\underline{\underline{S}} \in V^2$  is s.p.d with eigen pair  $(\lambda_i, \underline{v}_i)$  then there is a unique tensor

$$\underline{\underline{U}} = \sqrt{\underline{\underline{S}}} \quad \text{defined as}$$

$$\boxed{\underline{\underline{U}} = \sqrt{\underline{\underline{S}}} = \sum_{i=1}^s \sqrt{\lambda_i} \underline{v}_i \otimes \underline{v}_i}$$

Polar decomposition

Any tensor  $\underline{\underline{F}} \in V^2$  with  $\det(\underline{\underline{F}}) > 0$  has a right & left polar decomp.

$$\boxed{\underline{\underline{F}} = \underline{\underline{R}} \underline{\underline{U}} = \underline{\underline{V}} \underline{\underline{R}}}$$

where  $\underline{U} = \sqrt{\underline{F}^T \underline{F}}$  and  $\underline{V} = \sqrt{\underline{F} \underline{F}^T}$  are s.p.o.l.  
and  $\underline{R}$  is a rotation.

To see this consider

$$\det(\underline{F}) > 0 \Rightarrow \underline{F} \underline{v} \neq 0 \quad \underline{v} \in \mathbb{V}^2$$

$$\det(\underline{F}^T) > 0 \Rightarrow \underline{F}^T \underline{v} \neq 0 \quad "$$

$$(\underline{F} \underline{v}) \cdot (\underline{F} \underline{v}) > 0 \quad - |$$

$$(\underline{F} \underline{v})^T (\underline{F} \underline{v}) = \underline{v}^T \underline{F}^T \underline{F} \underline{v} > 0 \\ \underline{v} \cdot \underline{U}^2 \underline{v} > 0$$

Show  $\underline{R}$  is rotation

$$\underline{F} \underline{U}^{-1} = \underline{R} \underline{U} \underline{U}^{-1} \rightarrow \underline{R} = \underline{F} \underline{U}^{-1}$$

$$\det(\underline{R}) = \det(\underline{F} \underline{U}^{-1}) = \frac{\det(\underline{F})}{\det(\underline{U})} > 0$$

Show  $\underline{R}$  is orthonormal

$$\begin{aligned} \underline{R}^T \underline{R} &= (\underline{F} \underline{U}^{-1})^T (\underline{F} \underline{U}^{-1}) = \\ &= \underline{U}^{-1} \underline{F}^T \underline{F} \underline{U}^{-1} \quad u = \text{sym.} \\ &= \underbrace{\underline{U}^{-1}}_{=} \underline{F}^T \underline{F} \underbrace{\underline{U}^{-1}}_{=} = \underline{U}^{-1} \underline{U} \underline{U} \underline{U}^{-1} = \underline{I} \cdot \underline{I} = \underline{I} \end{aligned}$$

u<sup>2</sup>