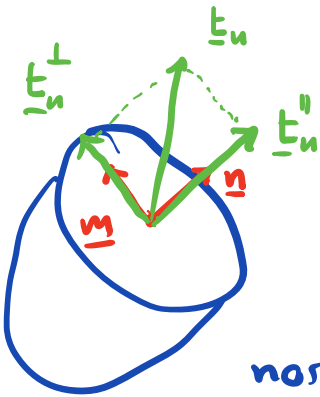




## Normal & Shear stresses



Projection matrices:

$$\underline{\underline{P}}'' = \underline{\underline{n}} \otimes \underline{\underline{n}}$$

$$\underline{\underline{P}}^\perp = \underline{\underline{I}} - \underline{\underline{n}} \otimes \underline{\underline{n}} = \underline{\underline{m}} \otimes \underline{\underline{m}}$$

normal stress:  $\underline{\underline{t}}_n'' = \underline{\underline{P}}'' \underline{\underline{t}}_n = (\underline{\underline{n}} \cdot \underline{\underline{t}}_n) \underline{\underline{n}} = \sigma_n \underline{\underline{n}}$

shear stress:  $\underline{\underline{t}}_n^\perp = \underline{\underline{P}}^\perp \underline{\underline{t}}_n = (\underline{\underline{m}} \cdot \underline{\underline{t}}_n) \underline{\underline{m}} = \tau \underline{\underline{m}}$

Magnitudes

$$\sigma_n = \underline{\underline{n}} \cdot \underline{\underline{t}}_n = \underline{\underline{n}} \cdot \underline{\underline{\sigma}} \underline{\underline{n}} \quad \text{or} \quad \sigma_n = n_i \sigma_{ij} n_j$$

$$\tau = \underline{\underline{m}} \cdot \underline{\underline{t}}_n = \underline{\underline{m}} \cdot \underline{\underline{\sigma}} \underline{\underline{n}} \quad \text{or} \quad \tau = m_i \sigma_{ij} n_j$$

$$\sigma_n > 0 \Rightarrow \text{stress is tensile}$$

$$\sigma_n < 0 \Rightarrow \text{stress is compressive}$$

From geometry:  $\underline{\underline{t}}_n = \underline{\underline{t}}_n'' + \underline{\underline{t}}_n^\perp$

$$\boxed{|\underline{\underline{t}}_n|^2 = \sigma_n^2 + \tau^2}$$

## Extremal stress values

Given  $\underline{\underline{\sigma}}$  at  $\underline{x}$  what unit normals  $\underline{n}$  corresponding to min & max normal stress  $\sigma_n$

$\Rightarrow$  constrained optimization problem

need to find max and min  $\sigma_n = \sigma_n(\underline{n})$

but with constraint  $|\underline{n}| = 1$

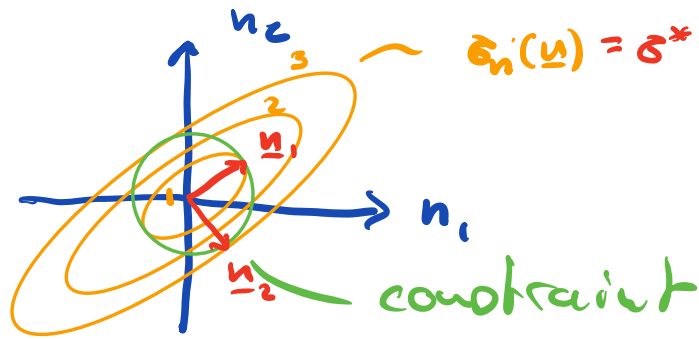
Lagrange multiplier method

$$\mathcal{L}(\underline{n}, \lambda) = \underbrace{\underline{n} \cdot \underline{\underline{\sigma}} \underline{n}}_{\substack{\sigma_n(\underline{n}) \\ \text{function} \\ \text{to optimize}}} - \lambda \underbrace{(\underline{n} \cdot \underline{n} - 1)}_{\substack{\text{constraint} \\ \text{Lag. multiplier}}}$$

$$= n_i \sigma_{ij} n_j - \lambda (n_i n_i - 1)$$

For visualization assume  $\underline{\underline{\sigma}}$  is spd (compression)

$\neq \sigma_n = \underline{n} \cdot \underline{\underline{\sigma}} \underline{n} \rightarrow$  is quadratic



To find extremal values we need to find stationary points of  $\mathcal{L}(\underline{n}, \lambda)$

$$\mathcal{L} = n_i \delta_{ij} n_j - \lambda (n_i n_i - 1)$$

$$\frac{\partial \mathcal{L}}{\partial \lambda} = n_i n_i - 1 = 0 \quad \rightarrow \quad |\underline{n}| = 1$$

$$\frac{\partial \mathcal{L}}{\partial n_k} = \delta_{ij} (n_{i,k} n_j + n_i n_{j,k}) - \lambda (n_{i,k} n_i + n_i n_{i,k})$$

$$\rightarrow = \delta_{ij} (\delta_{ik} n_j + \delta_{jk} n_i) - 2\lambda \delta_{ik} n_i$$

$$= \delta_{kj} n_j + \delta_{ik} n_i - 2\lambda n_k$$

$$= 2(\delta_{ik} n_k - \lambda n_k) = 0$$

In symbolic notation

$$\underline{\underline{\delta}} \underline{\underline{n}} - \lambda \underline{\underline{n}} = 0 \quad \& \quad |\underline{\underline{n}}| = 1$$

$$\Rightarrow \boxed{(\underline{\underline{\delta}} - \lambda \underline{\underline{I}}) \underline{\underline{n}} = 0} \quad \text{eigenvalue problem}$$

Clearly  $\underline{n}_i$  directions to max, with normal stress

What is  $\lambda$ ?

$$\underline{n} \cdot (\underline{\sigma} - \lambda \underline{I}) \underline{n} = 0$$

$$\underbrace{\underline{n} \cdot \underline{\sigma} \underline{n}}_{\sigma_n} - \lambda \underline{n} \cdot \underline{n} = 0$$
$$\sigma_n = \lambda$$

$\lambda_i$ 's are the principal normal stresses  $\lambda_i = \sigma_i$

$\underline{n}_i$ 's are the principal directions of  $\underline{\sigma}$

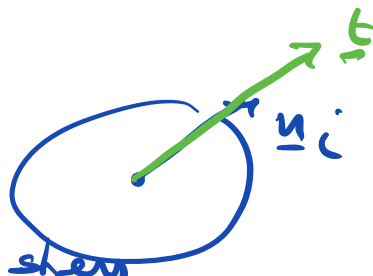
Since  $\underline{\sigma} = \underline{\sigma}^T$  all  $\sigma_i$ 's are real and the  $\{\underline{n}_i\}$  forms an orthonormal basis

$$\Rightarrow \underline{\sigma} = \sum_{i=1}^3 \sigma_i \underline{n}_i \otimes \underline{n}_i$$

Traction in a principal dir.

$$\underline{t}_{\underline{n}_i} = \underline{\sigma} \underline{n}_i = \sigma_i \underline{n}_i$$

$$\underline{t}_{\underline{n}_i} \parallel \underline{n}_i \Rightarrow \underline{t}_{\underline{n}_i}^\perp = 0 \quad \text{no shear stress}$$



If  $\sigma_i$ 's are distinct  $\sigma_1 > \sigma_2 > \sigma_3$   
 then  $\sigma_1$  and  $\sigma_3$  are max & min  
 normal stresses.

### Max & min shear stresses

Given principal dir  $\{\underline{n}_i\}$  at  $\underline{x}$

what is unit vector  $\underline{s} = [s_1, s_2, s_3]$

that gives max & min values of shear stress?

$$s_i = \underline{s} \cdot \underline{n}_i$$

In frame  $\{\underline{n}_i\}$   $\underline{t}_s$  is

$$\underline{t}_s = \underline{\underline{\sigma}} \underline{s} = \left( \sum_{i=1}^3 \sigma_i \underline{n}_i \otimes \underline{n}_i \right) \underline{s}$$

$$= \sigma_1 s_1 \underline{n}_1 + \sigma_2 s_2 \underline{n}_2 + \sigma_3 s_3 \underline{n}_3$$

magnitudes of shear on  $\underline{s}$

$$\underline{t}_s = \begin{pmatrix} \sigma_1 s_1 \\ \sigma_2 s_2 \\ \sigma_3 s_3 \end{pmatrix} \quad |t_s|^2 = (\sigma_1 s_1)^2 + (\sigma_2 s_2)^2 + (\sigma_3 s_3)^2$$

$$\sigma_n = \underline{s} \cdot \underline{t}_s = \sigma_1 s_1^2 + \sigma_2 s_2^2 + \sigma_3 s_3^2 = \sigma_i s_i^2$$

$$\tau^2 = |\underline{t}_s|^2 - \sigma_n^2 = (\sigma_1 s_1)^2 + (\sigma_2 s_2)^2 + (\sigma_3 s_3)^2 - (\sigma_1 s_1^2 + \sigma_2 s_2^2 + \sigma_3 s_3^2)^2$$

index notation

$$\tau^2 = \sum_{i=1}^3 \sigma_i^2 s_i^2 - \left( \sum_{i=1}^3 \sigma_i s_i^2 \right)^2$$

shear stress on some plane with normal  $\underline{s}$  in  $\{n_i\}$

looking for extrema  $\tau^2$  under constraint  $|\underline{s}|^2 - 1 = 0$

$\Rightarrow$  use Lagrange multipliers  
gets ~~messy~~ messy

Here we choose direct elimination.

Eliminate  $\underline{s}_3^2 = 1 - s_1^2 - s_2^2$

$$s_3^2 = 1 - s_2^2 - s_1^2$$

$$\Rightarrow \tau^2 = \tau^2(s_1, s_2)$$

Just need to find  $\frac{\partial \tau^2}{\partial s_1} = \frac{\partial \tau^2}{\partial s_2} = 0$

$$\frac{\partial \tau^2}{\partial s_1} = 2 s_1 (\sigma_1 - \sigma_3) \left\{ \sigma_1 - \sigma_3 - 2 \left[ (\sigma_1 - \sigma_3) s_1^2 + (\sigma_2 - \sigma_3) s_2^2 \right] \right\} = 0$$

$$\frac{\partial \tau^2}{\partial s_2} = 2 s_2 (\sigma_2 - \sigma_3) \left\{ \sigma_2 - \sigma_3 - 2 \left[ (\sigma_1 - \sigma_3) s_1^2 + (\sigma_2 - \sigma_3) s_2^2 \right] \right\} = 0$$

First solution:  $s_1 = s_2 = 0 \Rightarrow s_3 = \pm 1 \Rightarrow \underline{n} = \pm \underline{n}_3$

$$\tau^2 = \sigma_3^2 \cdot 1 - (\sigma_3 \cdot 1)^2 = 0$$

$\Rightarrow$  minimum in shear stress

shear stress vanishes on principal planes

analogously this can be shown for  $\underline{n}_1, \underline{n}_2$

Second solution:  $s_1 = 0$

$$\frac{\partial \tau^2}{\partial s_2} = (\sigma_2 - \sigma_3) - 2 \left[ (\sigma_2 - \sigma_3) s_2^2 \right] = 0$$

$$(\sigma_2 - \sigma_3) \left( 1 - 2 s_2^2 \right) = 0 \Rightarrow s_2 = \pm \frac{1}{\sqrt{2}}$$

$$\text{from } s_1^2 + s_2^2 + s_3^2 = 1 \Rightarrow s_3 = \pm \frac{1}{\sqrt{2}}$$

$$\underline{n} = \pm \frac{1}{\sqrt{2}} \underline{n}_2 \pm \frac{1}{\sqrt{2}} \underline{n}_3 = \pm \frac{1}{\sqrt{2}} (\underline{n}_2 + \underline{n}_3)$$

$$\tau^2 = \sum \sigma_i^2 s_i^2 - \left( \sum \sigma_i s_i \right)^2$$



$$s_1 = 0 \quad s_2 = s_3 = \pm \frac{1}{\sqrt{2}}$$

$$\begin{aligned} \tau^2 &= \sigma_2^2 \frac{1}{2} + \frac{\sigma_3^2}{2} - \left( \frac{\sigma_2}{2} + \frac{\sigma_3}{2} \right)^2 \\ &= \frac{\sigma_2^2}{2} + \frac{\sigma_3^2}{2} - \frac{\sigma_2^2}{4} - 2 \frac{\sigma_2}{2} \frac{\sigma_3}{2} - \frac{\sigma_3^2}{4} \\ &= \frac{\sigma_2^2}{4} - 2 \frac{\sigma_2 \sigma_3}{2} + \frac{\sigma_3^2}{4} \\ &= \left( \frac{\sigma_2 - \sigma_3}{2} \right)^2 \end{aligned}$$

We have following two solutions

$$\text{min.: } \tau = 0 \quad \text{for } \underline{s} = \pm \underline{n}_3$$

$$\text{max.: } \tau = \frac{1}{2}(\sigma_2 - \sigma_3) \quad \text{for } \underline{s} = \pm \frac{1}{\sqrt{2}} \underline{n}_2 \pm \frac{1}{\sqrt{2}} \underline{n}_3$$

$\Rightarrow$  similarly we can find two additional pairs of solns by eliminating  $s_2$  and  $s_1$  and following similar steps

## Minimum shear stresses

$$\tau = 0 \quad \text{on} \quad \underline{s} = \pm \underline{n}_1, \quad \underline{s} = \pm \underline{n}_2, \quad \underline{s} = \pm \underline{n}_3$$

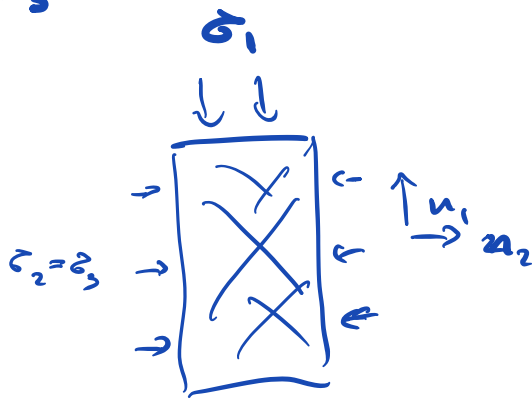
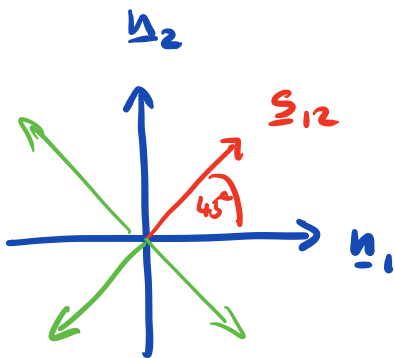
## Maximum shear stress

$$\tau_{23} = \frac{1}{2} (\sigma_2 - \sigma_3) \quad \text{on} \quad \underline{s}_{23} = \frac{1}{\sqrt{2}} (\pm \underline{n}_2 \pm \underline{n}_3)$$

$$\tau_{13} = \frac{1}{2} (\sigma_1 - \sigma_3) \quad \text{on} \quad \underline{s}_{13} = \frac{1}{\sqrt{2}} (\pm \underline{n}_1 \pm \underline{n}_3)$$

$$\tau_{12} = \frac{1}{2} (\sigma_1 - \sigma_2) \quad \text{on} \quad \underline{s}_{12} = \frac{1}{\sqrt{2}} (\pm \underline{n}_1 \pm \underline{n}_2)$$

assume  $\sigma_1 \geq \sigma_2 \geq \sigma_3$



$\Rightarrow$  sets of conjugate shear fractures  
at  $45^\circ$  to  $\sigma_1$