

## Lecture 11: Stress on a fault

Logistics: - HW 2 is graded ✓

ABT

some missed second page (1 problem)

- HW 4 due Thu

office hrs today 4-5 pm

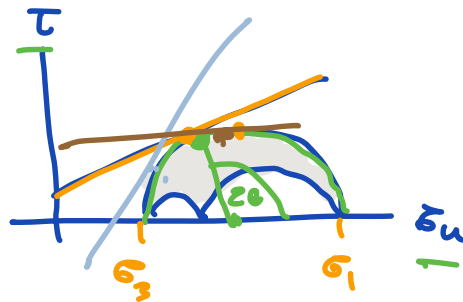
- Problem with 100% copying HW !!!

⇒ assigned lowest grade received on HW

Last time: - Mohr circle

- Failure

$$\tau = S + \mu' \sigma_n$$



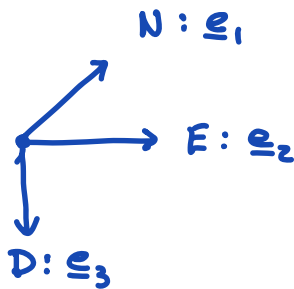
Today: - Stress on a fault

go through all steps with some clarifications  
using real example

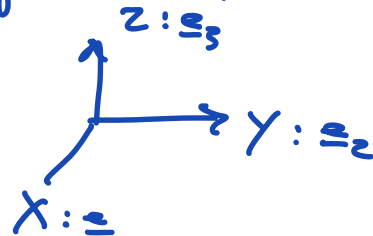
- If time start with tensor calculus!

# Fault normals from dip and strike

Geographic coordinate system NED



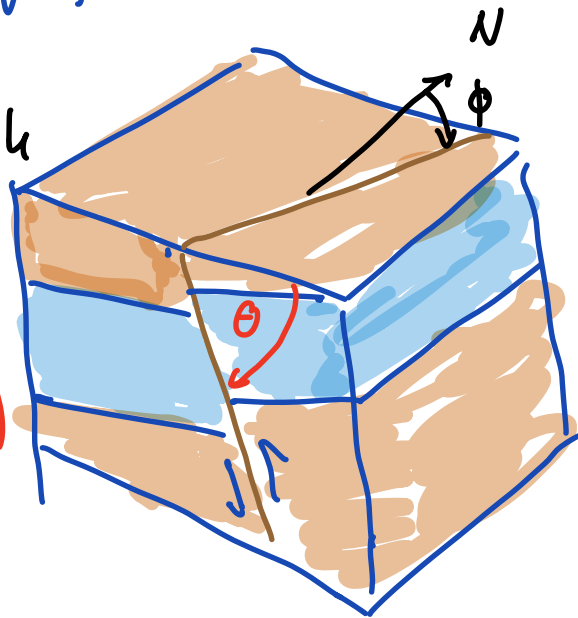
different from XYZ



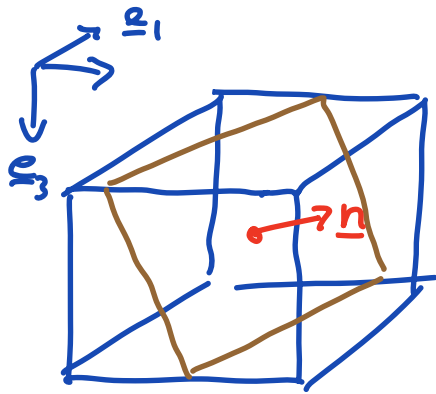
## Geological description of fault

strike:  $\phi$  = angle from north

dip:  $\theta$  = angle from horizontal  
(prop. from strike)



Q: Given  $\theta$ ,  $\phi$  what is the normal to fault?

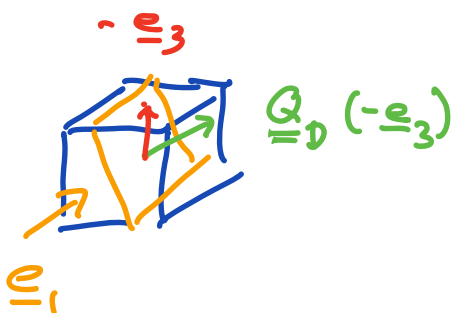


Start with  $-\underline{e}_3$

Two rotations:

- 1) Rotation around  $\underline{e}_1$   
by dip:  $\underline{Q}_D = (\underline{e}_1, -\theta)$   
 $\Rightarrow$  fault with correct dip  
but strike 0

- 2) Rotation around  $\underline{e}_3$   
by strike:  $\underline{Q}_S = (\underline{e}_3, \phi)$



$$\underline{n} = \underline{Q}_S \underline{Q}_D (-\underline{e}_3)$$

General rotation matrix

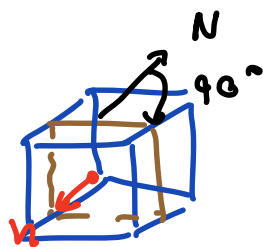
$$\underline{Q}(\underline{r}, \theta) = \underline{r} \underline{r} \underline{r} + \cos \theta (\underline{I} - \underline{r} \otimes \underline{r}) + \sin \theta \underline{R}$$

Simple example

dip:  $\theta = 90^\circ (\frac{\pi}{2})$

strike:  $\phi = 90^\circ (\frac{\pi}{2})$

by inspection:  $\underline{n} = -\underline{e}_1$



$$\underline{R} = \begin{bmatrix} 0 & -\underline{r}_3 & \underline{r}_2 \\ \underline{r}_3 & 0 & -\underline{r}_1 \\ -\underline{r}_2 & \underline{r}_1 & 0 \end{bmatrix}$$

$$\sin\left(\frac{\pi}{2}\right) = 1 \quad \cos\left(\frac{\pi}{2}\right) = 0$$

Dip rotation:

$$\begin{aligned} \underline{\underline{Q}}_D &= \underline{\underline{Q}}(e_1, \frac{\pi}{2}) = e_1 \otimes e_1 + R_1 = \begin{bmatrix} 1 & 0 & 0 \\ 0 & c & 0 \\ 0 & 0 & c \end{bmatrix} + \begin{bmatrix} 0 & 0 & 0 \\ c & c & -1 \\ 0 & 1 & 0 \end{bmatrix} \\ &= \begin{bmatrix} 1 & 0 & 0 \\ 0 & c & 0 \\ 0 & 1 & c \end{bmatrix} \end{aligned}$$

Strike rotation:

$$\begin{aligned} \underline{\underline{Q}}_S &= \underline{\underline{Q}}(e_3, \frac{\pi}{2}) = e_3 \otimes e_3 + R_3 = \begin{bmatrix} 0 & c & 0 \\ c & c & 0 \\ 0 & 0 & 1 \end{bmatrix} + \begin{bmatrix} 0 & -1 & 0 \\ 1 & 0 & c \\ c & c & 0 \end{bmatrix} \\ &= \begin{bmatrix} 0 & -1 & 0 \\ -1 & c & 0 \\ c & c & 1 \end{bmatrix} \end{aligned}$$

$$\begin{aligned} n &= \underline{\underline{Q}}_S \underline{\underline{Q}}_D (-e_3) = \underline{\underline{Q}}_S \begin{bmatrix} 1 & 0 & 0 \\ 0 & c & -1 \\ 0 & 1 & c \end{bmatrix} \begin{bmatrix} 0 \\ 0 \\ -1 \end{bmatrix} = \underline{\underline{Q}}_S \begin{bmatrix} 0 \\ +1 \\ 0 \end{bmatrix} \\ &= \begin{bmatrix} 0 & -1 & c \\ -1 & c & 0 \\ 0 & c & 1 \end{bmatrix} \begin{bmatrix} 0 \\ +1 \\ 0 \end{bmatrix} = \begin{bmatrix} -1 \\ 0 \\ c \end{bmatrix} = -e_1 \end{aligned}$$

$n$  is in NED ~~coord~~ frame

# Change of basis tensors

Lecture 8

$\{e_i\}$  &  $\{e'_i\}$

$$\underline{A} = A_{ij} \underline{e}_i \otimes \underline{e}_j$$

$$A_{ij} = \underline{e}_i \cdot \underline{e}'_j$$

$$[\underline{v}] = [A] [\underline{v}]'$$

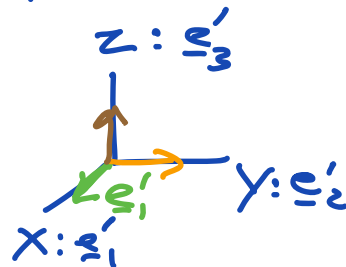
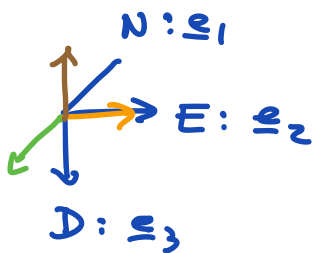
$$[\underline{v}] = [\underline{A}]^T [\underline{v}]'$$

$$[\underline{s}] = [\underline{A}] [\underline{s}]' [\underline{A}]^T$$

$$[\underline{s}]' = [\underline{A}]^T [\underline{s}] [\underline{A}]$$

NED  $\{e_i\}$

XYZ  $\{e'_i\}$



$$[e_i] = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$$

$$[e'_i]' = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$$

$$A_{ij} = \underline{e}_i \cdot \underline{e}'_j \neq [e_i] \cdot [e'_j]'$$

$$= [e_i] \cdot [e'_j]$$

$$[\underline{a}] = (\underline{e}_i \cdot \underline{a}) \underline{e}_i + \dots \quad \underline{a} = \underline{e}'_i$$

$$[e'_i]' = \underbrace{(\underline{e}_i \cdot \underline{e}'_1)}_{-1} \underline{e}_1 + \underbrace{(\underline{e}_2 \cdot \underline{e}'_1)}_0 \underline{e}_2 + \underbrace{(\underline{e}_3 \cdot \underline{e}'_1)}_0 \underline{e}_3$$

$$[\underline{e}'_1] = \begin{bmatrix} -1 \\ 0 \\ 0 \end{bmatrix} \quad [\underline{e}'_2] = [\underline{e}_2] = \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}$$

$$[\underline{e}'_3] = -[\underline{e}_3] = \begin{bmatrix} 0 \\ 0 \\ -1 \end{bmatrix}$$

In gewohnt:  $\underline{e}'_j = (\underline{e}_i \cdot \underline{e}'_j) \underline{e}_i = A_{ij} \underline{e}_i$  ↙ Transpose

$$\underline{e}_i = \underset{\substack{\uparrow \\ (\underline{e}_i \cdot \underline{e}'_j)}}{A_{ij}} \underline{e}'_j$$

$$\underline{A} = ([\underline{e}'_1], [\underline{e}'_2], [\underline{e}'_3]) = \begin{bmatrix} -1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{bmatrix}$$

$\begin{matrix} \nearrow & \uparrow & \nwarrow \\ [\underline{e}'_1] & [\underline{e}'_2] & [\underline{e}'_3] \end{matrix}$

$$[\underline{e}'_i] = [\underline{A}] [\underline{e}'_i]'$$

$$= \begin{bmatrix} -1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} -1 \\ 0 \\ 0 \end{bmatrix}$$

Just for plotting:  $\underline{A}_{xyz}$

$$\underline{n}_{xyz} = \underline{A}_{xyz} \underline{n}_{ned}$$

## Stress tensor in NED frame

typically given  $\{\underline{e}'_i\}$   $\underline{e}'_i = \underline{v}_i$   
principal coord.  $\underline{v}_i$  eigen vectors MPd

$$[\underline{\sigma}]' = \begin{bmatrix} \sigma_1 & & \\ & \sigma_2 & \\ & & \sigma_3 \end{bmatrix}$$

From data  $\sigma_3 = \text{vertical} \sim 4$   
 $\sigma_2 = \text{skew} \sim 5$   
 $\sigma_1 = \text{SHmax} \sim 9.5$

$$[\underline{e}'_i]' = [\underline{v}_i]' = \begin{bmatrix} 1 & & \\ & 1 & \\ & & 1 \end{bmatrix}$$

$$\underline{v}_1 \times \underline{v}_2 = \underline{v}_3$$

$$\underline{v}_2 \times \underline{v}_3 = \underline{v}_1$$

$\Rightarrow [\underline{v}_2]$  is given in NED frame (strike)

$[\underline{v}_3]$  vertical (NED)

$$[\underline{v}_1] = [\underline{v}_2] \times [\underline{v}_3]$$

Change in basis vectors:  $\underline{\underline{A}}_{\underline{\sigma}} = ([\underline{v}_1], [\underline{v}_2], [\underline{v}_3])$

$$\underline{\underline{S}} = \underline{\underline{V}} \underline{\underline{\Lambda}} \underline{\underline{V}}^T$$

$\uparrow$   
 $[\underline{\sigma}]'$

$$\underline{\underline{\Lambda}} = \begin{bmatrix} \lambda_1 & & \\ & \lambda_2 & \\ & & \lambda_3 \end{bmatrix}$$

$$\underline{\underline{V}} = \begin{bmatrix} \underline{v}_1 & \underline{v}_2 & \underline{v}_3 \end{bmatrix} = \underline{\underline{A}}$$

$$\underline{\underline{\sigma}}_{\text{ned}} = \underline{\underline{A}}_{\underline{\sigma}} [\underline{\sigma}]' \underline{\underline{A}}_{\underline{\sigma}}^T$$