

## Lecture 9: Principal Stresses

Logistics: - HW3 due Th

- HW2 only 4 submissions!

For this class HWs are essential

Last time: Change of basis  $\{\underline{e}\}$  and  $\{\underline{e}'\}$

Representation  $[\underline{v}]$  vs.  $[\underline{v}]'$   
 $[\underline{s}]$  vs.  $[\underline{s}]'$

Change in basis tensor:  $\underline{A}$   $A_{ij} = \underline{e}_i \cdot \underline{e}'_j$

$$[\underline{v}] = [\underline{A}] [\underline{v}]' \quad [\underline{s}] = [\underline{A}] [\underline{s}]' [\underline{A}]^T$$

$$[\underline{v}]' = [\underline{A}]^T [\underline{v}] \quad [\underline{s}]' = [\underline{A}]^T [\underline{s}] [\underline{A}]$$

Spectral decomposition (sym  $\underline{S} = \underline{S}^T$ )

$$\left[ \begin{matrix} \lambda_1 \\ \lambda_2 \\ \lambda_3 \end{matrix} \right] = \left[ \underline{S} = \sum_{i=1}^3 \lambda_i \underline{v}_i \otimes \underline{v}_i \right] \quad \underline{S} \underline{v}_i = \lambda_i \underline{v}_i$$

Principal invariants:

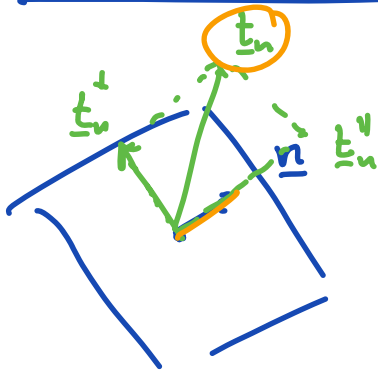
$$I_1 = \underline{\text{tr}}(\underline{S}) = \lambda_1 + \lambda_2 + \lambda_3$$

$$\rightarrow I_2 = \frac{1}{2} \left( \underline{\text{tr}}(\underline{S})^2 + \underline{\text{tr}}(\underline{S}^2) \right) = \lambda_1 \lambda_2 + \lambda_2 \lambda_3 + \lambda_1 \lambda_3$$

$$I_3 = \underline{\text{det}}(\underline{S}) = \lambda_1 \lambda_2 \lambda_3$$

Today: Principal stresses

## Normal & Shear Stresses



$$\underline{t}_n = \underline{\underline{\sigma}} \underline{n}$$

$$\underline{t}_n^{\parallel} = \underline{P}^{\parallel} \underline{t}_n = (\underline{n} \otimes \underline{n}) \underline{t}_n$$

$$\underline{t}_n^{\perp} = \underline{P}^{\perp} \underline{t}_n = (\underline{I} - \underline{n} \otimes \underline{n}) \underline{t}_n = \underline{m} \otimes \underline{m}$$

$|\underline{m}| |\underline{t}_n^{\perp}|$

normal stress:  $\sigma_n = \underline{n} \cdot \underline{t}_n = \underline{n} \cdot \underline{\underline{\sigma}} \underline{n} = n_i \sigma_{ij} n_j$

shear stress:  $\tau = \underline{m} \cdot \underline{t}_n = \underline{m} \cdot \underline{\underline{\sigma}} \underline{n} = m_i \sigma_{ij} n_j$

## Extremal Stress Values



I) Max and Min Normal Stresses

Q: Given a  $\underline{\underline{\sigma}}$  at  $\underline{x}$  what are the  $\underline{n}$ 's corresponding to to max & min normal stress.

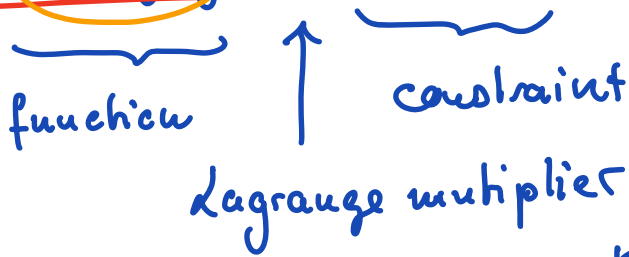
$\Rightarrow$  Optimization problem for  $\underline{n}$   
with constraint that  $|\underline{n}| = 1$

# Lagrange multiplier method

$$\underline{n} \cdot \underline{n} - 1 = 0$$

$$\mathcal{L}(\underline{n}, \lambda) = \underline{n} \cdot \underline{\sigma} \underline{n} - \lambda (\underline{n} \cdot \underline{n} - 1)$$

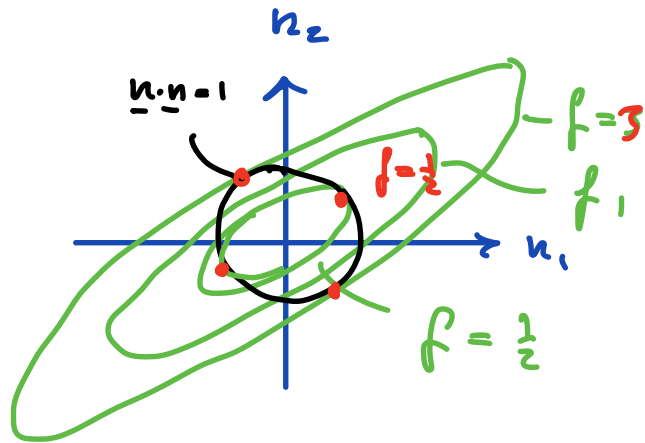
$$\mathcal{L}(n_i, \lambda) = n_i \delta_{ij} n_j + \lambda (n_i n_i - 1)$$



$$f(\underline{n}) = \underline{n} \cdot \underline{\sigma} \underline{n}$$

is quadratic in components of  $\underline{n}$

$$\underline{n} = \begin{bmatrix} n_1 \\ n_2 \end{bmatrix}$$



Extremal values are stationary points of  $\mathcal{L}$

$$\frac{\partial \mathcal{L}}{\partial \lambda} = \underline{n}_i \underline{n}_i - 1 = 0$$

$$\frac{\partial \mathcal{L}}{\partial n_k} = \delta_{ij} \frac{\partial}{\partial n_k} [n_i n_j] - 2\lambda [n_i n_i - 1]$$

$$\frac{\partial n_i}{\partial n_i} = 1 \quad \frac{\partial n_i}{\partial n_i} = 0$$

$$\frac{\partial n_i}{\partial n_k} = n_{i,j,k} = \delta_{ik}$$

$$= \delta_{ij} [n_{i,k} n_j + n_i n_{j,k}] - 2\lambda n_{i,k} n_i$$

$$= \sigma_{ij} [\delta_{ik} n_j + \delta_{jk} n_i] - 2\lambda \delta_{ik} n_i$$

$$= \sigma_{ij} \delta_{ik} n_j + \sigma_{ij} \delta_{jk} n_i - 2\lambda \delta_{ik} n_i$$

$$= \sigma_{kj} n_j + \sigma_{ik} n_i - 2\lambda n_k$$

$$\text{we } \sigma_{ik} = \sigma_{ki}$$

$$= \underline{2(\sigma_{kj} n_j - \lambda n_k)} = 0$$

In dyadic notation:  $(\underline{\underline{\underline{\sigma}}} - \lambda \underline{\underline{\underline{I}}}) \underline{n} = 0$   $|\underline{n}| = 1$

Lagrange multipliers method leads to eigenvalue problem

What is significance of  $\lambda$ ?

$$\underline{n} \cdot (\underline{\underline{\underline{\sigma}}} - \lambda \underline{\underline{\underline{I}}}) \underline{n} = 0$$

$$\underbrace{\underline{n} \cdot \underline{\underline{\underline{\sigma}}} \underline{n}}_{\sigma_n} - \lambda \underbrace{\underline{n} \cdot \underline{n}}_1 = 0 \quad \Rightarrow \quad \boxed{\sigma_n = \lambda}$$

Solve eigenvalue problem to find extremal normal stresses

$\lambda_i$ 's principal normal stresses  $\rightarrow \lambda_i = \sigma_i$

$\underline{n}_i$ 's principal directions of  $\underline{\underline{\sigma}}$

$$\underline{\underline{\sigma}} = \sum_{i=1}^3 \sigma_i \underline{n}_i \otimes \underline{n}_i \quad \text{eigen frame}$$

$$[\underline{\underline{\sigma}}] = \begin{bmatrix} \sigma_1 & & \\ & \sigma_2 & \\ & & \sigma_3 \end{bmatrix}$$

tractions

$$\underline{t}_{\underline{n}_i} = \underline{\underline{\sigma}} \underline{n}_i = \sum_{i=1}^3 \underbrace{\sigma_i (\underline{n}_i \otimes \underline{n}_i)}_{\sigma} (\underline{n}_i \cdot \underline{n}_j) \underline{n}_j$$

$$\underline{t}_{\underline{n}_i} = \sigma_i \underline{n}_i \quad \underline{t}_{\underline{n}_i} \parallel \underline{n}_i$$

$\Rightarrow$  no shear stress

## II) Max & min shear stresses.

look in  $\{\underline{n}_i\}$  principal stress

$$\underline{s} = s_i \underline{n}_i$$

$$s_i = \underline{s} \cdot \underline{n}_i$$

traction vector  $\underline{t}$  on plane given by  $\underline{s}$

$$\underline{t}_s = \underline{\sigma} \underline{s} = \sum_{i=1}^3 \sigma_i n_i \otimes \underline{n}_i (s_j \underline{n}_j)$$

$$= \sum_{i=1}^3 \sigma_i s_i (\underline{n}_i \otimes \underline{n}_i) \underline{n}_j$$

$$= \sum \sigma_i s_i \underbrace{(\underline{n}_i \cdot \underline{n}_j)}_{\delta_{ij}} \underline{n}_j$$

$$\underline{t}_s = \sum_{i=1}^3 \sigma_i s_i \underline{n}_i$$

$$\underline{t}_s = \sigma_1 s_1 \underline{n}_1 + \sigma_2 s_2 \underline{n}_2 + \sigma_3 s_3 \underline{n}_3$$



mag. of normal & shear stress

$$\sigma_n = \underline{s} \cdot \underline{t}_s = \sigma_1 s_1^2 + \sigma_2 s_2^2 + \sigma_3 s_3^2$$

$$\tau^2 = |\underline{t}_s|^2 - \sigma_n^2$$

$$\tau^2 = \sigma_1^2 s_1^2 + \sigma_2^2 s_2^2 + \sigma_3^2 s_3^2 - (\sigma_1 s_1^2 + \sigma_2 s_2^2 + \sigma_3 s_3^2)^2$$

In index notation

$$\tau^2 = \sum_{i=1}^3 \sigma_i^2 s_i^2 - \left( \sum_{i=1}^3 \sigma_i s_i^2 \right)^2$$

function we are optimizing under the constraint  $|s|^2 = 1$   $s_1^2 + s_2^2 + s_3^2 = 1$   
solve using direct substitution

I, Eliminate  $s_3^2 = 1 - s_1^2 - s_2^2$   $\Rightarrow \tau^2 = \tau^2(s_1, s_2)$

$$\begin{aligned} \tau^2 &= \sigma_1^2 s_1^2 + \sigma_2^2 s_2^2 + \sigma_3^2 s_3^2 - (\sigma_1 s_1^2 + \sigma_2 s_2^2 + \sigma_3 s_3^2)^2 \\ &= \sigma_1^2 s_1^2 + \sigma_2^2 s_2^2 + \sigma_3^2 (1 - s_1^2 - s_2^2) - (\sigma_1 s_1^2 + \sigma_2 s_2^2 + \sigma_3 (1 - \sigma_1^2 - \sigma_2^2))^2 \\ &\Rightarrow \text{constraint is incorporated} \end{aligned}$$

we just need to find  $\frac{\partial \tau^2}{\partial s_1} = \frac{\partial \tau^2}{\partial s_2} = 0$

$$\frac{\partial \tau^2}{\partial s_1} = 2 s_1 (\sigma_1 - \sigma_3) \left\{ \sigma_1 - \sigma_3 - 2 [(\sigma_1 - \sigma_3) s_1^2 + (\sigma_2 - \sigma_3) s_2^2] \right\} = 0$$

$$\frac{\partial \tau^2}{\partial s_2} = 2 s_2 (\sigma_2 - \sigma_3) \left\{ \sigma_2 - \sigma_3 - 2 [(\sigma_1 - \sigma_3) s_1^2 + (\sigma_2 - \sigma_3) s_2^2] \right\} = 0$$

First solution:  $s_1 = s_2 = 0 \Rightarrow s_3 = \pm 1 \quad \underline{s} = \pm \underline{n}_3$

$$\tau^2 = \sigma_3^2 \cdot 1 - (\sigma_3 \cdot 1)^2 = 0$$

$\Rightarrow$  minimum shear stress in principal plane

Second solution:  $s_1 = 0$

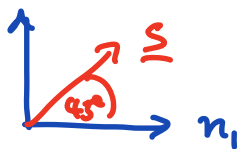
$$\frac{\partial \tau^2}{\partial s_2} = \sigma_2 - \sigma_3 - 2[(\sigma_2 - \sigma_3) s_2^2] = 0$$

$$(\sigma_2 - \sigma_3)(1 - 2s_2^2) = 0 \quad s_2^2 = \frac{1}{2}$$

$$\Rightarrow \sigma_2 = \pm \frac{1}{\sqrt{2}}$$

from  $s_2^2 + s_3^2 = 1 \quad s_3 = \pm \frac{1}{\sqrt{2}}$

$$\underline{n}_2 \Rightarrow \underline{s} = \pm \frac{1}{\sqrt{2}} \underline{n}_2 \pm \frac{1}{\sqrt{2}} \underline{n}_3$$



$$\tau^2 = \frac{\sigma_1^2}{2} + \frac{\sigma_2^2}{2} - \left( \frac{\sigma_1}{2} + \frac{\sigma_2}{2} \right)^2$$

$$\tau^2 = \left( \frac{\sigma_2 - \sigma_3}{2} \right)^2$$

Two solutions

min

$$\tau = 0$$

$$\underline{s} = \pm \underline{n}_3$$

max

$$\tau = \frac{1}{2}(\sigma_2 - \sigma_3)$$

$$\underline{s} = \pm \frac{\underline{n}_2}{\sqrt{2}} \pm \frac{\underline{n}_3}{\sqrt{2}}$$



Playing this two more times

Min. shear stresses

$$\tau = 0 \quad \text{on} \quad \underline{s} = \pm \underline{n}_1, \quad \underline{s} = \pm \underline{n}_2, \quad \underline{s} = \pm \underline{n}_3$$

Max shear stresses

$$\tau_{23} = \frac{1}{2} (\sigma_2 - \sigma_3) \quad \text{on} \quad \underline{s}_{23} = \frac{1}{\sqrt{2}} (\pm \underline{n}_2 \pm \underline{n}_3)$$

$$\tau_{13} = \frac{1}{2} (\sigma_1 - \sigma_3) \quad \text{on} \quad \underline{s}_{13} = \frac{1}{\sqrt{2}} (\pm \underline{n}_1 \pm \underline{n}_3)$$

$$\tau_{12} = \frac{1}{2} (\sigma_1 - \sigma_2) \quad \text{on} \quad \underline{s}_{12} = \frac{1}{\sqrt{2}} (\pm \underline{n}_1 \pm \underline{n}_2)$$

