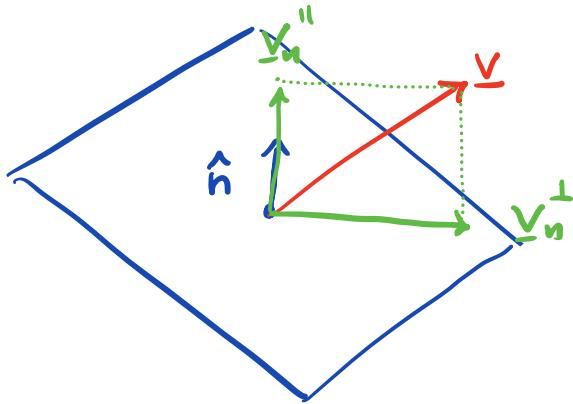


Projection & Reflection tensors

commonly used to partition forces on a surface.



$$\underline{v} = \underline{v}_n^{\parallel} + \underline{v}_n^{\perp}$$

use dot product:

$$\underline{v}_n^{\parallel} = (\underline{v} \cdot \hat{n}) \hat{n}$$

$$\underline{v}_n^{\perp} = \underline{v} - \underline{v}_n^{\parallel}$$

Tensors ? $\underline{v}^{\parallel} = \underline{\underline{P}}^{\parallel} \underline{v}$
 $\underline{v}^{\perp} = \underline{\underline{P}}^{\perp} \underline{v}$

use dyadic property !

$$\underline{v}_n^{\parallel} = (\underline{v} \cdot \hat{n}) \hat{n} = (\hat{n} \otimes \hat{n}) \underline{v} = \underline{\underline{P}}_n^{\parallel} \underline{v}$$

$$\underline{v}_n^{\perp} = \underline{v} - (\hat{n} \otimes \hat{n}) \underline{v} = (\underline{\underline{I}} - \hat{n} \otimes \hat{n}) \underline{v} = \underline{\underline{P}}_n^{\perp} \underline{v}$$

Projection tensors:

$$\underline{\underline{P}}_n^{\parallel} = \hat{n} \otimes \hat{n}$$

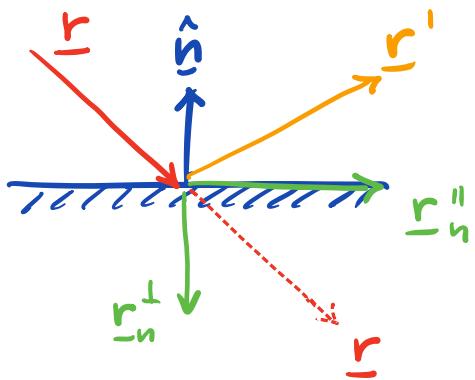
$$\underline{\underline{P}}_n^{\perp} = \underline{\underline{I}} - \hat{n} \otimes \hat{n}$$

Properties:

$$\begin{aligned} \underline{\underline{P}} &= \underline{\underline{P}}^T \\ \underline{\underline{P}}^T &= \underline{\underline{P}} \\ \underline{\underline{P}}^2 &= \underline{\underline{P}} \\ \underline{\underline{P}}^T + \underline{\underline{P}}^+ &= \underline{\underline{I}} \\ \underline{\underline{P}}^T \underline{\underline{P}}^+ &= \underline{\underline{O}} \end{aligned}$$

symmetric (HWZ)

Reflections



$$\text{incoming: } \underline{r} = \underline{r}_n'' + \underline{r}_n^\perp$$

$$\text{reflected: } \underline{r}' = \underline{r}_n'' - \underline{r}_n^\perp$$

$$\underline{r}' = (\underline{\underline{P}}_n'' - \underline{\underline{P}}^\perp) \underline{r}$$

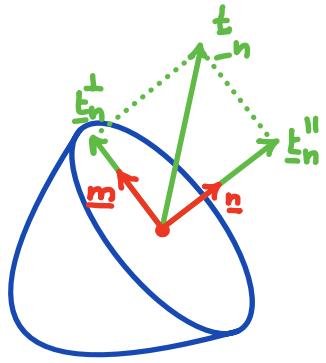
$$\underline{r}' = (\underline{\underline{I}} - 2 \hat{\underline{n}} \otimes \hat{\underline{n}}) \underline{r}$$

$$\underline{\underline{r}}' = \underline{\underline{R}}_n \underline{\underline{r}}$$

Reflection tensor:

$$\underline{\underline{R}}_n = \underline{\underline{I}} - 2 \hat{\underline{n}} \otimes \hat{\underline{n}}$$

Normal and Shear Stresses



Consider an arbitrary surface in B

projection matrices:

$$\underline{P}'' = \underline{n} \otimes \underline{n}$$

$$\underline{\underline{P}}^{\perp} = \underline{\underline{I}} - \underline{n} \otimes \underline{n} = \underline{\underline{m}} \otimes \underline{\underline{m}}$$

normal stress: $\underline{\underline{t}}_n'' = \underline{\underline{P}}'' \underline{\underline{t}}_n = (\underline{n} \cdot \underline{\underline{t}}_n) \underline{n} = \sigma_n \underline{n}$

shear stress: $\underline{\underline{t}}_n^{\perp} = \underline{\underline{P}}^{\perp} \underline{\underline{t}}_n = (\underline{m} \cdot \underline{\underline{t}}_n) \underline{m} = \tau \underline{m}$

The magnitudes of these stresses are:

$$\sigma_n = |\underline{\underline{t}}_n''| = \underline{n} \cdot \underline{\underline{t}}_n = \underline{n} \cdot \underline{\underline{\sigma}} \underline{n} \quad \text{or} \quad \sigma_n = n_i \sigma_{ij} n_j$$

$$\tau = |\underline{\underline{t}}_n^{\perp}| = \underline{m} \cdot \underline{\underline{t}}_n = \underline{m} \cdot \underline{\underline{\sigma}} \underline{n} \quad \text{or} \quad \tau = m_i \sigma_{ij} n_j$$

normal stresses:

$\sigma_n > 0$ tensile

$\sigma_n < 0$ compressive

From geometry: $\underline{\underline{t}}_n = \underline{\underline{t}}_n'' + \underline{\underline{t}}_n^{\perp}$

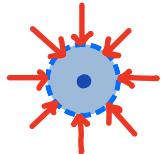
$$|\underline{\underline{t}}_n|^2 = |\underline{\underline{\sigma}}_n \underline{n}|^2 + |\underline{\underline{\tau}} \underline{n}|^2 = \sigma_n^2 + \tau_n^2$$

Simple states of stress

I) Hydrostatic stress

$$\underline{\underline{\sigma}} = -p \underline{\underline{I}} = \begin{bmatrix} -p & 0 & 0 \\ 0 & -p & 0 \\ 0 & 0 & -p \end{bmatrix}$$

$$\Rightarrow \underline{\underline{\tau}}_n = \underline{\underline{\sigma}} \underline{n} = -p \underline{n} \quad \text{for all } \underline{n}$$



$$\underline{\underline{\tau}}_n'' = p_n'' \underline{\underline{\tau}} - (\underline{n} \otimes \underline{n})(-p \underline{n}) = -p (\underline{n} \cdot \underline{n}) \underline{n} = -p \underline{n}$$

$$\Rightarrow \underline{\underline{\tau}}_n = \underline{\underline{\tau}}_n'' \quad \underline{\tau}_n^\perp = 0$$

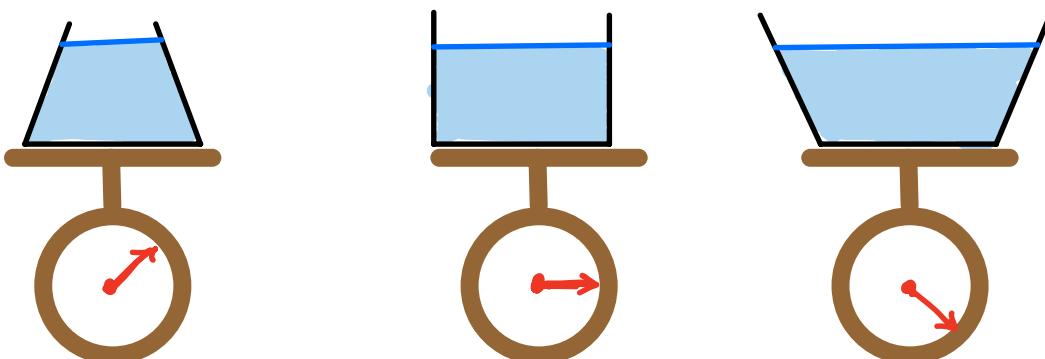
normal stress: $\sigma_n = -p$ } on all planes
shear stress: $\tau = 0$

Pascal's law:

The pressure in a fluid at rest is independent of the direction of a surface. Pressure is a scalar!

Hydrostatic paradox: (Blaise Pascal)

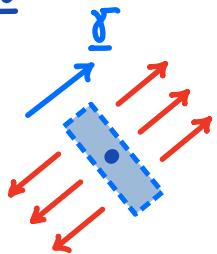
Weight different but the force on base is same $F = pA$



II) Uniaxial stress

$$\underline{\underline{\sigma}} = \sigma \underline{\underline{\gamma}} \otimes \underline{\underline{\gamma}}$$

($\underline{\underline{\gamma}}$ is unit vector)



$$\underline{t}_n = \underline{\underline{\sigma}} \underline{n} = \sigma (\underline{\underline{\gamma}} \cdot \underline{n}) \underline{\underline{\gamma}}$$

Traction is always parallel to $\underline{\underline{\gamma}}$

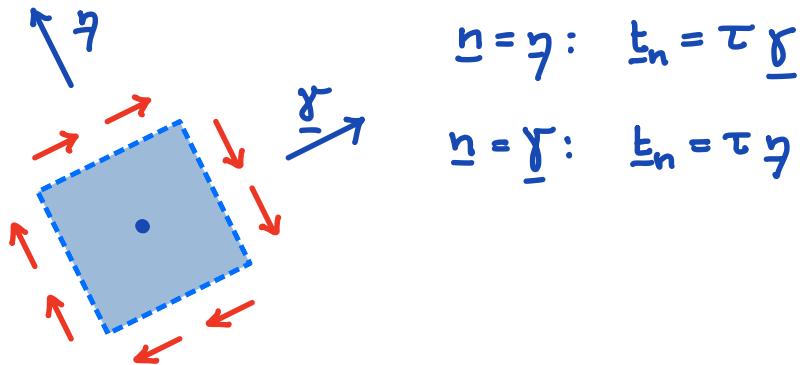
and vanished on surfaces with
normal perpendicular to $\underline{\underline{\gamma}}$.

$\sigma > 0$: pure tension

$\sigma < 0$: pure compression

III, Pure shear stress $\underline{\underline{\sigma}} \cdot \underline{\underline{\gamma}} = 0$

$$\underline{\underline{\sigma}} = \tau (\underline{\underline{\gamma}} \otimes \underline{\underline{\gamma}} + \underline{\underline{\gamma}} \otimes \underline{\underline{\gamma}}) \Rightarrow \underline{\epsilon}_n = \underline{\underline{\sigma}} \underline{\underline{n}} = \tau (\underline{\underline{\gamma}} \cdot \underline{\underline{n}}) \underline{\underline{\gamma}} + \tau (\underline{\underline{\gamma}} \cdot \underline{\underline{n}}) \underline{\underline{\gamma}}$$



IV, Plane stress

If there exists a pair of orthogonal vectors $\underline{\underline{x}}$ and $\underline{\underline{y}}$ such that the matrix representation of $\underline{\underline{\sigma}}$ in the frame $\{\underline{\underline{x}}, \underline{\underline{y}}, \underline{\underline{x}} \times \underline{\underline{y}}\}$ is of the form

$$[\underline{\underline{\sigma}}] = \begin{pmatrix} \sigma_{11} & \sigma_{12} & 0 \\ \sigma_{21} & \sigma_{22} & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

then a state of plane stress exists.

Q: Is uniaxial stress a plane stress?

$$\underline{\underline{\sigma}} = \sigma \underline{q} \otimes \underline{q}$$

Pick a frame $\{\underline{e}_i\}$ and evaluate $[\underline{\underline{\epsilon}}]$.

What frame $\underline{e}_1 = \underline{q}$ know $\underline{e}_2 \cdot \underline{q} = \underline{e}_3 \cdot \underline{q} = 0$

$$\epsilon_{ij} = \underline{e}_i \cdot \underline{\underline{\sigma}} \underline{e}_j$$

substitute with $\underline{q} = \underline{e}_1$

$$\epsilon_{ij} = \underline{e}_i \cdot (\sigma \underline{q} \otimes \underline{e}_i) \underline{e}_j$$

$$= \sigma \underline{e}_i \cdot (\underline{q} \cdot \underline{e}_j) \underline{e}_i = \sigma (\underline{e}_i \cdot \underline{e}_i) (\underline{e}_j \cdot \underline{e}_i)$$

$$\epsilon_{11} = \sigma (\underline{e}_1 \cdot \underline{e}_1) (\underline{e}_1 \cdot \underline{e}_1) = \sigma$$

$$\epsilon_{12} = \sigma (\underline{e}_1 \cdot \underline{e}_1) (\underline{e}_1 \cdot \underline{e}_2) = 0$$

$$\epsilon_{22} = \sigma (\underline{e}_2 \cdot \underline{e}_1) (\underline{e}_1 \cdot \underline{e}_2) = 0$$

...

$$\Rightarrow [\underline{\underline{\epsilon}}] = \begin{bmatrix} \sigma & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \checkmark \text{ plane stress}$$

Spherical and deviatoric stress tensors

The Cauchy Stress tensor can be decomposed

as $\underline{\underline{\sigma}} = \underline{\underline{\sigma}}_S + \underline{\underline{\sigma}}_D$

spherical stress tensor: $\underline{\underline{\sigma}}_S = -p \underline{\underline{I}}$ $p = -\frac{1}{3} \text{tr}(\underline{\underline{\sigma}})$

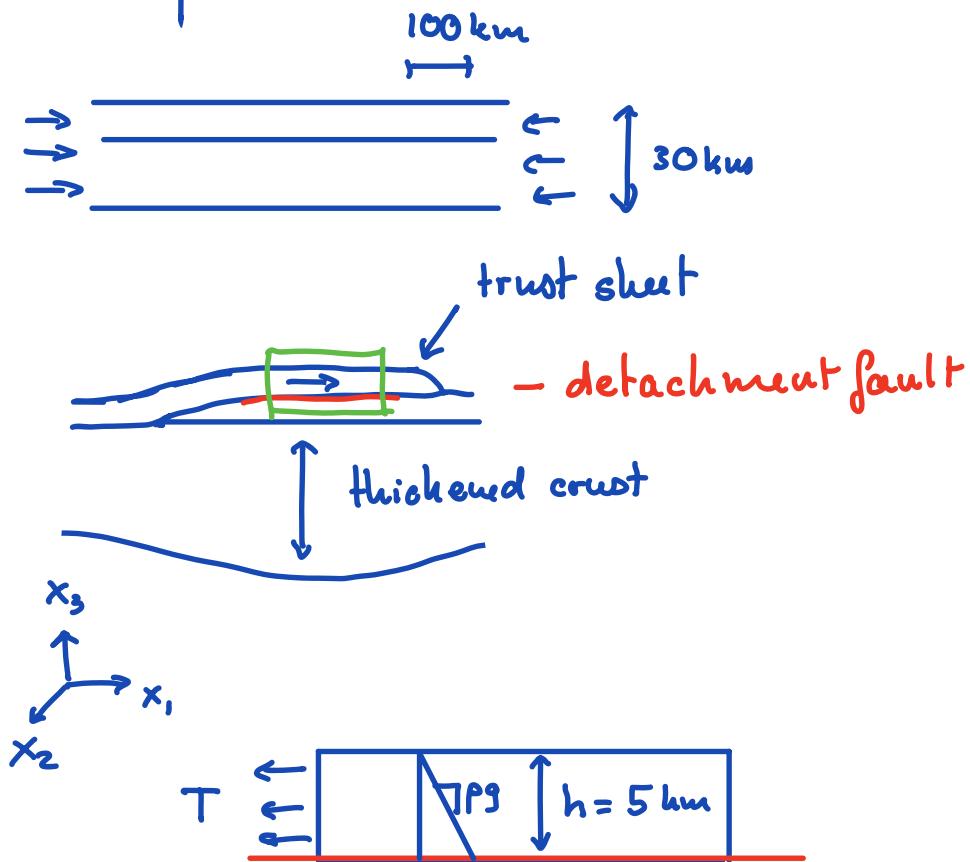
deviatoric stress tensor: $\underline{\underline{\sigma}}_D = \underline{\underline{\sigma}} - p \underline{\underline{I}}$

The pressure $p = -\frac{1}{3} \text{tr}(\underline{\underline{\sigma}}) = -\frac{1}{3} (\sigma_1 + \sigma_2 + \sigma_3)$ can be interpreted as the mean normal traction. The spherical stress is the part of $\underline{\underline{\sigma}}$ that changes the volume of the body. Note that $p > 0$ corresponds to compression.

The deviatoric stress is the part of $\underline{\underline{\sigma}}$ that changes the shape of a body without changing its volume. By definition $\text{tr} \underline{\underline{\sigma}}_D = 0$.

$$\int_s [\partial B] = - \int_B \rho g e_3 dV = -\rho g e_3 \underbrace{\int_B dV}_{V_B} = -\rho g V_B e_3 \quad \checkmark$$

Example: Fault block on detachment



Normal stresses:

Vertical stress: $\sigma_{33} = \rho g h$

Horizontal stress (\$x_1\$-dir): $\sigma_{11} = \kappa \sigma_{33} - T$

Horizontal stress (\$x_2\$-dir): $\sigma_{22} = \kappa \sigma_{33}$

In fluid $\kappa=1$, but in rock $\kappa < 1$ due to finite strength.

T is tensile tectonic stress

Assume only shear stress is in 1-3 coord. plane

$$\sigma_{13} = \sigma_{31} = \mu (pgh) \quad \mu = \text{coefficient of friction}$$

$$\sigma_{21} = \sigma_{12} = 0 \quad \sigma_{23} = \sigma_{32} = 0$$

This results in following stress tensor:

$$\underline{\underline{\sigma}} = \begin{bmatrix} \kappa pgh - T & 0 & \mu pgh \\ 0 & \kappa pgh & 0 \\ \mu pgh & 0 & pgh \end{bmatrix}$$

Traction on basal plane:

$$\underline{t}(\underline{e}_3) = \underline{\underline{\sigma}} \underline{e}_3 = \begin{bmatrix} M \\ 0 \\ 1 \end{bmatrix} pgh$$

Normal stress of fault: $\underline{t}(\underline{e}_3) \cdot \underline{e}_3 = pgh$

Shear stress on fault: $\underline{t}(\underline{e}_3) \cdot \underline{e}_1 = \mu pgh$

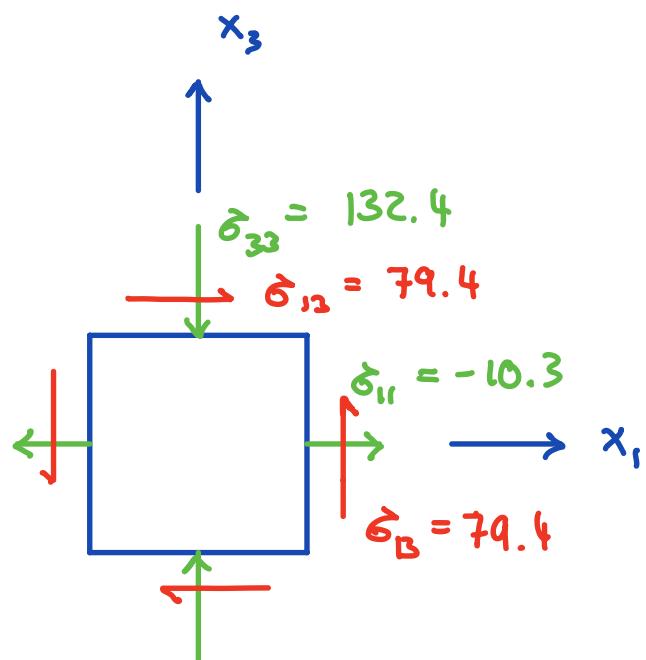
Assume following numbers:

$$\rho = 2700 \text{ kg/m}^3 \quad h = 5000 \text{ m}$$

$$g = 9.8 \text{ m/s}^2 \quad T = 50 \text{ MPa}$$

$$\kappa = 0.3 \quad \mu = 0.6$$

$$\Rightarrow \underline{\underline{\sigma}} = \begin{bmatrix} -10.3 & 0 & 79.4 \\ 0 & 39.7 & 0 \\ 79.4 & 0 & 132.4 \end{bmatrix} \text{ MPa}$$



$$\underline{\underline{\epsilon}}_1 = \underline{\underline{\epsilon}}(\underline{\underline{\epsilon}}_1) = \underline{\underline{\sigma}} \underline{\underline{\epsilon}}_1 = \begin{bmatrix} -10.3 \\ 0 \\ 79.4 \end{bmatrix} \text{ MPa}$$

$$\underline{\underline{\epsilon}}_3 = \underline{\underline{\epsilon}}(\underline{\underline{\epsilon}}_3) = \underline{\underline{\sigma}} \underline{\underline{\epsilon}}_3 = \begin{bmatrix} 79.4 \\ 0 \\ 132.4 \end{bmatrix} \text{ MPa}$$

Normal & shear stress on x_1 -coord. plane:

$$\underline{\underline{t}}_1'' = \underline{\underline{P}}_1'' \underline{\underline{t}}_1 = (\underline{\underline{e}}_1 \otimes \underline{\underline{e}}_1) \underline{\underline{t}}_1 = (\underline{\underline{e}}_1 \cdot \underline{\underline{t}}_1) \underline{\underline{e}}_1 = \begin{bmatrix} -10.3 \\ 0 \\ 0 \end{bmatrix} \text{ MPa}$$

$\sigma_n = \sigma_{II}$

$$\underline{\underline{t}}_1^\perp = \underline{\underline{P}}_1^\perp \underline{\underline{t}}_1 = \underline{\underline{t}}_1 - \underline{\underline{t}}_1'' = \begin{bmatrix} -10.3 \\ 0 \\ 79.4 \end{bmatrix} - \begin{bmatrix} -10.3 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 79.4 \end{bmatrix} \text{ MPa}$$

Normal & shear stress on x_3 -coordinate plane:

$$\underline{\underline{t}}_3'' = \underline{\underline{P}}_3'' \underline{\underline{t}}_3 = (\underline{\underline{e}}_3 \otimes \underline{\underline{e}}_3) \underline{\underline{t}}_3 = (\underline{\underline{t}}_3 \cdot \underline{\underline{e}}_3) \underline{\underline{e}}_3 = \begin{bmatrix} 0 \\ 0 \\ 132.4 \end{bmatrix} \text{ MPa}$$

$$\underline{\underline{t}}_3^\perp = \underline{\underline{t}}_3 - \underline{\underline{t}}_3'' = \begin{bmatrix} 79.4 \\ 0 \\ 132.4 \end{bmatrix} - \begin{bmatrix} 0 \\ 0 \\ 132.4 \end{bmatrix} = \begin{bmatrix} 79.4 \\ 0 \\ 0 \end{bmatrix} \text{ MPa}$$