

## Internal energy and The First Law

Internal energy: Energy of body not kinetic energy

- 1) thermal energy (heat)
- 2) mechanical/strain energy
- 3) Chemical energy
- 4) Magnetization

Internal energy  $\mathcal{U}$  of  $\Omega_t$ :  $\mathcal{U}[\Omega_t] = \int_{\Omega_t} \rho(\mathbf{x}, t) u(\mathbf{x}, t) dV_{\mathbf{x}}$

$u(\mathbf{x}, t)$  = internal energy density / unit mass

## First Law of Thermodynamics

$$\boxed{\frac{d}{dt} \mathcal{U}[\Omega_t] = Q[\Omega_t] + W[\Omega_t]} \quad \text{for all } \Omega_t \in \mathcal{B}_t$$

Net heating:  $Q[\Omega_t] =$  rate of thermal energy gain/loss

Net working:  $W[\Omega_t] =$  rate of mechanical energy gain/loss

$\Rightarrow$  heating first

# Net heating

2 forms of heat gain/loss:

I) Body heating:  $Q_b[\Omega_t] = \int_{\Omega_t} \rho(\underline{x}, t) r(\underline{x}, t) dV_{\underline{x}}$

$r(\underline{x}, t)$  = heat supply/loss per unit mass

Example: radiogenic heating

$$r = H_0 e^{-\lambda t}$$

$H_0$  = initial power per unit mass

$\lambda$  = decay constant

II) Surface heating:  $Q_s[\Omega_t] = - \int_{\partial\Omega_t} \underline{q}(\underline{x}, t) \cdot \underline{n}(\underline{x}, t) dA_{\underline{x}}$

$\underline{q}(\underline{x}, t)$  = heat flux vec or.

Fourier's law:  $\underline{q} = - \underline{\kappa} \nabla T$  (Lecture 10)

$\underline{\kappa}$  = thermal conductivity tensor

Net heating is the rate at which heat is added or lost from  $\Omega_t$

$$Q[\Omega_t] = Q_b[\Omega_t] + Q_s[\Omega_t] = \int_{\Omega_t} \rho(\underline{x}, t) r(\underline{x}, t) dV_{\underline{x}} - \int_{\partial\Omega_t} \underline{q}(\underline{x}, t) \cdot \underline{n}(\underline{x}, t) dA_{\underline{x}}$$

## Energy balance with net heating

First law with  $W=0$ :  $\frac{d}{dt} \mathcal{U}[\Omega_t] = Q[\Omega_t]$

where  $\mathcal{U}[\Omega_t] = \int_{\Omega_t} \rho u \, dV_x$

$$Q[\Omega_t] = \int_{\Omega_t} \rho r \, dV_x - \int_{\partial\Omega_t} \mathbf{q} \cdot \underline{n} \, dA_x$$

substituting:

$$\frac{d}{dt} \int_{\Omega_t} \rho u \, dV_x = - \int_{\partial\Omega_t} \mathbf{q} \cdot \underline{n} \, dA_x + \int_{\Omega_t} \rho r \, dV_x$$

using derivative relative to mass and divergence Thm

$$\int_{\Omega} (\rho \dot{u} + \nabla_x \cdot \mathbf{q} + \rho r) \, dV_x$$

by the arbitrary ness of  $\Omega_t$  we have

$$\rho \dot{u} + \nabla \cdot \mathbf{q} = \rho r$$

local Eulerian form

Following lin. mom. balance  $\rightarrow$  conservative form

$$\frac{\partial}{\partial t} (\rho u) + \nabla \cdot [\underline{v} \rho u + \mathbf{q}] = \rho r$$

$\Rightarrow$  HW 9

- still in terms of internal energy density!

# Advection - Conduction Equation

Energy balance:  $\frac{\partial}{\partial t}(\rho u) + \nabla \cdot [\underline{v} \rho u + \underline{q}] = \rho r$

$\underline{v}$  → momentum balance

$\rho, r$  parameters (given)

$u, \underline{q}$  unknown variables

1 equation with 4 unknowns

⇒ need to relate  $u$  and  $\underline{q}$

Thermodynamics:  $u = u_0 + c_p (T - T_0)$  (simplest)

Fouriers law:  $\underline{q} = -\kappa \nabla T$  (Lecture 10)

physical parameters:

1)  $c_p =$  specific heat capacity  $\left[ \frac{\text{J}}{\text{kgK}} \right]$

2)  $\kappa =$  thermal conductivity  $\left[ \frac{\text{W}}{\text{mK}} = \frac{\text{J}}{\text{msK}} \right]$

Assume: 1) properties are constant ( $\rho, c_p, \kappa$ )

2) flow is incompressible  $\nabla \cdot \underline{v} = 0$

substitute and simplify

$$\rho c_p \frac{\partial T}{\partial t} - \nabla \cdot [\underline{v} \rho c_p T - \kappa \nabla T] = \rho r \quad \Rightarrow \text{HW9}$$

Advective heat flux:  $q_A = \underline{v} \rho c_p T$

Conductive heat flux:  $q_C = -\kappa \nabla T$

Heat production:  $\rho r$

$\Rightarrow$  Advection - Diffusion - Reaction Equation

Diffusion  $\sim$  Conduction

Reaction  $\sim$  Production

In limit of  $\underline{v} \rightarrow \underline{0}$

$$\frac{\partial T}{\partial t} - D \nabla^2 T = r \quad \underline{\text{Heat Equation}}$$

where

$\nabla^2 = \nabla \cdot \nabla = \text{Laplacian}$  (Lecture 10)

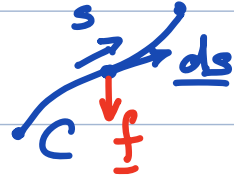
$D = \frac{\kappa}{\rho c_p}$  thermal diffusivity

# Work and Power

Work is energy transferred by application of force along distance

$$W = F s$$

$$W = \int_C \underline{f} \cdot \underline{ds} = \int_{t_1}^{t_2} \underline{f} \cdot \frac{d\underline{s}}{dt} dt = \int_{t_1}^{t_2} \underline{f} \cdot \underline{v} dt$$



Power is the rate of work

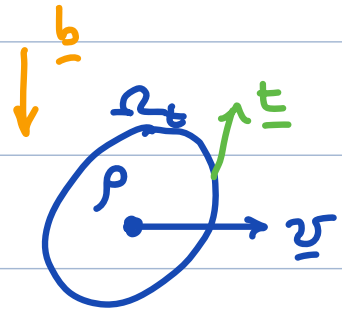
$$\mathcal{P} = \frac{dW}{dt} = \underline{f} \cdot \underline{v}$$

from

$$W = \int_{t_1}^{t_2} \frac{dW}{dt} dt = \int_{t_1}^{t_2} \underline{f} \cdot \underline{v} dt$$

Working is rate of work done  $\sim$  power

Exchange of mechanical energy of a body



Kinetic Energy of a continuum body is

$$\mathcal{K}[\Omega_t] = \int_{\Omega} \frac{1}{2} \rho(\underline{x}, t) |\underline{v}(\underline{x}, t)|^2 dV_x$$

Power of external forces acting on  $\Omega_t$  is

$$\mathcal{P}[\Omega_t] = \int_{\Omega_t} \rho(\underline{x}, t) \underline{b}(\underline{x}, t) \cdot \underline{v}(\underline{x}, t) dV_x + \int_{\partial\Omega_t} \underline{t}(\underline{x}, t) \cdot \underline{v}(\underline{x}, t) dA_x$$

Net working  $\mathcal{W}[\Omega_t]$  of external forces on  $\Omega_t$  is the mechanical power not converted into motion

$$\mathcal{W}[\Omega_t] = \mathcal{P}[\Omega_t] - \frac{d}{dt} \mathcal{K}[\Omega_t]$$

$\mathcal{W}[\Omega_t] > 0$ : mechanical energy is stored

$\mathcal{W}[\Omega_t] < 0$ : stored energy is released

Net working in Eulerian form

Power:  $\mathcal{P} = \underline{f} \cdot \underline{v}$

Newton's 2<sup>nd</sup> law:  $\underline{f} = m \underline{a} \rightarrow \underline{f} = \frac{d}{dt} (m \underline{v}) = m \dot{\underline{v}}$

Start by taking dot product of  $\underline{v}$  and lin. mom. balance

lin. mom. balance:  $\rho \dot{\underline{v}} = \nabla \cdot \underline{\underline{\sigma}} + \rho \underline{b}$

$$\rho \dot{\underline{v}} \cdot \underline{v} = \rho \underline{v} \cdot \dot{\underline{v}} = (\nabla \cdot \underline{\underline{\sigma}}) \cdot \underline{v} + \rho \underline{b} \cdot \underline{v}$$

Identify  $\mathcal{K}$ ,  $\mathcal{P}$  and  $\mathcal{W} \Rightarrow$  integrate

$$\int_{\Omega_t} \rho \underline{v} \cdot \dot{\underline{v}} dV_x = \int_{\Omega_t} (\nabla_x \cdot \underline{\underline{\sigma}}) \cdot \underline{v} + \rho \underline{b} \cdot \underline{v} dV_x$$

use identity  $\nabla \cdot (\underline{\underline{A}}^T \underline{\underline{b}}) = (\nabla \cdot \underline{\underline{A}}) \cdot \underline{\underline{b}} + \underline{\underline{A}} : \nabla \underline{\underline{b}}$  (Lecture 10)

$$\int_{\Omega_t} \rho \underline{\underline{v}} \cdot \dot{\underline{\underline{v}}} dV_x = \int_{\Omega_t} -\underline{\underline{\sigma}} : \nabla_x \underline{\underline{v}} + \nabla \cdot (\underline{\underline{\sigma}}^T \underline{\underline{v}}) + \rho \underline{\underline{b}} \cdot \underline{\underline{v}} dV_x$$

Using  $\underline{\underline{S}} : \underline{\underline{D}} = \underline{\underline{S}} : \text{sym}(\underline{\underline{D}})$  if  $\underline{\underline{S}} = \underline{\underline{S}}^T$

$\Rightarrow$  introduce  $\underline{\underline{d}} = \text{sym}(\nabla_x \underline{\underline{v}}) = \frac{1}{2}(\nabla_x \underline{\underline{v}} + \nabla_x \underline{\underline{v}}^T)$ .

$$\int_{\Omega_t} \rho \underline{\underline{v}} \cdot \dot{\underline{\underline{v}}} dV_x = \int_{\Omega_t} -\underline{\underline{\sigma}} : \underline{\underline{d}} + \rho \underline{\underline{b}} \cdot \underline{\underline{v}} dV_x + \underbrace{\int_{\partial \Omega_t} \underline{\underline{\sigma}} \underline{\underline{v}} \cdot \underline{\underline{n}} dA_x}_{\text{divergence thm.}}$$

Using  $\underline{\underline{\sigma}} \underline{\underline{v}} \cdot \underline{\underline{n}} = \underline{\underline{v}} \cdot \underline{\underline{\sigma}}^T \underline{\underline{n}} = \underline{\underline{v}} \cdot \underline{\underline{t}}$

$$\int_{\Omega_t} \rho \underline{\underline{v}} \cdot \dot{\underline{\underline{v}}} dV_x = \int_{\Omega_t} -\underline{\underline{\sigma}} : \underline{\underline{d}} dV_x + \underbrace{\int_{\Omega_t} \rho \underline{\underline{b}} \cdot \underline{\underline{v}} dV_x + \int_{\partial \Omega_t} \underline{\underline{t}} \cdot \underline{\underline{v}} dA_x}_{\mathcal{P}[\Omega_t]}$$

Now we can identify the left hand side as

$$\frac{d}{dt} \mathcal{K}[\Omega_t] = \frac{d}{dt} \int_{\Omega_t} \frac{1}{2} \rho \underline{\underline{v}} \cdot \underline{\underline{v}} dV_x = \frac{1}{2} \int_{\Omega_t} \rho \frac{d}{dt} (\underline{\underline{v}} \cdot \underline{\underline{v}}) dV_x$$

$$\frac{d}{dt} (v_i v_i) = \dot{v}_i v_i + v_i \dot{v}_i = 2(v_i \dot{v}_i)$$

$$\frac{d}{dt} \mathcal{K}[\Omega_t] = \int_{\Omega_t} \rho \underline{\underline{v}} \cdot \dot{\underline{\underline{v}}} dV_x$$



so that we have the result

$$\frac{d}{dt} \mathcal{K}[\Omega_t] + \int_{\Omega_t} \underline{\underline{\sigma}} : \underline{\underline{d}} \, dV_x = \mathcal{P}[\Omega_t]$$

by comparison with  $\mathcal{W}[\Omega_t] = \mathcal{P}[\Omega_t] - \frac{d}{dt} \mathcal{K}[\Omega_t]$

$$\Rightarrow \mathcal{W}[\Omega_t] = \int_{\Omega_t} \underline{\underline{\sigma}} : \underline{\underline{d}} \, dV_x$$

The quantity  $\underline{\underline{\sigma}} : \underline{\underline{d}}$  is called the stress power associated with a motion. It corresponds to the rate of work done by internal forces (stresses) in a continuum body.

In some cases the power of an external force can be written as  $\mathcal{P}[\Omega_t] = - \frac{d}{dt} G[\Omega_t]$

where  $G[\Omega_t]$  is called potential energy for ext. forces.

$$\Rightarrow \frac{d}{dt} (\mathcal{U}[\Omega_t] + \mathcal{K}[\Omega_t] + G[\Omega_t]) = \mathcal{Q}[\Omega_t]$$

# Energy balance with net working

$$\frac{d}{dt} U[\Omega_t] = Q[\Omega_t] + \mathcal{W}[\Omega_t]$$

$$\text{where } U[\Omega_t] = \int_{\Omega_t} \rho u \, dV_x$$

$$Q[\Omega_t] = \int_{\Omega_t} \rho r \, dV_x - \int_{\partial\Omega_t} \mathbf{q} \cdot \underline{\mathbf{n}} \, dA_x$$

$$\mathcal{W}[\Omega_t] = \int_{\Omega_t} \underline{\underline{\boldsymbol{\sigma}}} : \underline{\underline{\mathbf{d}}} \, dV_x$$

Hence we have

$$\frac{d}{dt} \int_{\Omega_t} \rho u \, dV_x = \int_{\Omega_t} \underline{\underline{\boldsymbol{\sigma}}} : \underline{\underline{\mathbf{d}}} \, dV_x - \int_{\partial\Omega_t} \mathbf{q} \cdot \underline{\mathbf{n}} \, dA_x + \int_{\Omega_t} \rho r \, dV_x$$

using derivative relative to mass and divergence Thm

$$\int_{\Omega} (\rho \dot{u} - \underline{\underline{\boldsymbol{\sigma}}} : \underline{\underline{\mathbf{d}}} + \nabla_x \cdot \mathbf{q} + \rho r) \, dV_x$$

by the arbitrary ness of  $\Omega_t$  we have

$$\rho \dot{u} = \underline{\underline{\boldsymbol{\sigma}}} : \underline{\underline{\mathbf{d}}} - \nabla_x \cdot \mathbf{q} + \rho r \quad \text{local Eulerian form}$$

networking

$$\frac{\partial}{\partial t} (\rho u) + \nabla_x \cdot [\underline{\mathbf{v}} \rho u + \mathbf{q}] = \underline{\underline{\boldsymbol{\sigma}}} : \underline{\underline{\mathbf{d}}} + \rho r \quad \text{conservative local Eulerian form}$$

## Entropy and The Second Law

The Second Law expresses the fact that a body has a limit on the rate of heat uptake, but has no limit on the rate of heat release.

The second law postulates:

$$Q[\Omega_t] \leq \Xi[\Omega_t]$$

an upper bound  $\Xi[\Omega_t]$  on the net heating. In the absence of net working  $W[\Omega_t] = 0$  we have

$$\frac{d}{dt} \mathcal{U}[\Omega_t] = Q[\Omega_t] \leq \Xi[\Omega_t]$$

$\Rightarrow$  the quantity  $\Xi[\Omega_t]$  limits rate of energy storage.

The entropy of a body is defined (up to a constant)

$$\frac{d}{dt} S[\Omega_t] = \frac{\Xi[\Omega_t]}{\Theta[\Omega_t]}$$

where  $\Theta[\Omega_t]$  is the uniform temp. of  $\Omega_t$ .

Entropy is a quantity whose rate of change is equal to the upper heating bound per unit temperature.

At the atomistic level entropy is a measure of disorder, the number of atomic configurations compatible with macroscopic variables. In terms of net heating

$$\frac{d}{dt} S[\Omega_t] \geq \frac{Q[\Omega_t]}{\Theta[\Omega_t]}$$

Clausius-Planck  
Inequality

In thermo books:  $dS = \frac{dQ_{rev}}{T}$  and  $dS > \frac{dQ}{T}$

The irreversibility of natural processes is shown by

$$\frac{d}{dt} S[\Omega_t] \geq 0 \quad \text{when} \quad Q[\Omega_t] = 0$$

For a non-homogeneous body we postulate an entropy density field per unit mass,  $s(\underline{x}, t)$ , so that

$$S[\Omega_t] = \int_{\Omega_t} \rho(\underline{x}, t) s(\underline{x}, t) dV_x$$

Hence the generalization of the 2<sup>nd</sup> law to inhomogeneous systems is given by the Clausius-Duhem ineq.

$$\frac{d}{dt} S[\Omega_t] \geq \int_{\Omega_t} \frac{\rho(\underline{x}, t) r(\underline{x}, t)}{\theta(\underline{x}, t)} dV_x - \int_{\partial\Omega_t} \frac{\underline{q}(\underline{x}, t) \cdot \underline{n}(\underline{x}, t)}{\theta(\underline{x}, t)} dA_x$$

This relation places restrictions on the constitutive relations of a body and leads to statements about energy dissipation and the flow of heat.

Note: The precise form of the 2<sup>nd</sup> law in continuum mechanics is not settled yet!

But all forms lead to same restrictions.

## Local Eulerian Form of the Second Law

The integral form of the Clausius-Duhem form of the Second Law is

$$\frac{d}{dt} \int_{\Omega_t} \rho s \, dV_x \geq \int_{\Omega_t} \frac{\rho r}{\theta} \, dV_x - \int_{\partial \Omega_t} \frac{\mathbf{q} \cdot \mathbf{n}}{\theta} \, dA_x$$

After applying the Divergence Thm and invoking the arbitrariness of  $\Omega_t$  we have

$$\boxed{\rho \dot{s} \geq \rho r / \theta - \nabla_x \cdot (\mathbf{q} / \theta)}$$
 Clausius-Duhem inequality in local Eulerian form

After multiplying by  $\theta$  and expanding the divergence

$$\theta \rho \dot{s} \geq \rho r - \nabla_x \cdot \mathbf{q} + \theta^{-1} \mathbf{q} \cdot \nabla_x \theta$$

Which can be written as

$$\boxed{\mathcal{S} - \theta^{-1} \mathbf{q} \cdot \nabla_x \theta \geq 0}$$

where  $\mathcal{S} = \theta \rho \dot{s} - (\rho r - \nabla_x \cdot \mathbf{q})$  is the internal dissipation density per unit volume. Difference between local entropy increase and the local heating.

Note:

I) Any point where  $\nabla_x \theta = 0$  the dissipation is non-negative,  $\delta \geq 0$ .  $\Rightarrow$  bodies with homogeneous  $\theta$  have non-neg. dissipation.

II) If  $\delta = 0$ , i.e. a reversible process, then  $\mathbf{q} \cdot \nabla_x \theta \leq 0$ .

 Thus  $\mathbf{q}$  is at an angle  $> 90$  from  $\nabla_x \theta$ .

$\Rightarrow$  heat flows down the temperature gradient.

To study the consequences of Clausius-Duhem inequality for constitutive laws we introduce the field

$$\psi(\underline{x}, t) = \phi(\underline{x}, t) - \theta(\underline{x}, t) s(\underline{x}, t)$$

Helmholtz free energy density. This is the portion of the free energy available for performing work at const.  $\theta$ .

$\Rightarrow$  Reformulate Clausius-Duhem in terms of  $\psi$

## Material derivative of free energy

$$\begin{aligned}\frac{d}{dt}(\theta s) &= \frac{\partial}{\partial t}(\theta s) + \nabla_x(\theta s) \cdot \underline{v} = \theta \frac{\partial s}{\partial t} + s \frac{\partial \theta}{\partial t} + \theta \nabla_x s \cdot \underline{v} \\ &\quad + s \nabla_x \theta \cdot \underline{v} \\ &= \theta \left( \frac{\partial s}{\partial t} + \nabla_x s \cdot \underline{v} \right) + s \left( \frac{\partial \theta}{\partial t} + \nabla_x \theta \cdot \underline{v} \right) \\ &= \theta \dot{s} + s \dot{\theta}\end{aligned}$$

from definition of  $\dot{\psi}$

$$\dot{\psi} = \dot{u} - \theta \dot{s} - \dot{\theta} s \quad \Rightarrow \quad \dot{u} = \dot{\psi} + \theta \dot{s} + s \dot{\theta}$$

substituting into local form of 1<sup>st</sup> law

$$\rho \dot{u} = \underline{\underline{\sigma}} : \underline{\underline{d}} - \nabla_x \cdot \underline{q} + \rho r$$

$$\rho \dot{\psi} + \rho \theta \dot{s} + \rho s \dot{\theta} = \underline{\underline{\sigma}} : \underline{\underline{d}} - \nabla_x \cdot \underline{q} + \rho r$$

$$\rho \theta \dot{s} = \underline{\underline{\sigma}} : \underline{\underline{d}} - \nabla_x \cdot \underline{q} + \rho r - \rho \dot{\psi} - \rho s \dot{\theta}$$

substituting into 2<sup>nd</sup> law

$$\theta \rho \dot{s} \geq \rho r - \nabla_x \cdot \underline{q} + \theta^{-1} \underline{q} \cdot \nabla_x \theta$$

$$\underline{\underline{\sigma}} : \underline{\underline{d}} - \cancel{\nabla_x \cdot \underline{q}} + \cancel{\rho r} - \rho \dot{\psi} - \rho s \dot{\theta} \geq \cancel{\rho r} - \cancel{\nabla_x \cdot \underline{q}} + \theta^{-1} \underline{q} \cdot \nabla_x \theta$$

solve for  $\rho \dot{\psi}$

$$\rho \dot{\psi} \leq \underline{\underline{\sigma}} : \underline{\underline{d}} - \rho s \dot{\theta} - \theta^{-1} \underline{q} \cdot \nabla_x \theta$$



This is called the reduced Clausius-Duhem inequality, because it is independent of local heat supply  $r$  and heat flux,  $q$ , if  $\nabla_x \theta = 0$ .  $\Rightarrow$  homogeneous bodies of classical thermo.

Note:

In a homogeneous body,  $\nabla \theta = 0$ , we have that

$$\rho \dot{\phi} \leq \underline{\underline{\sigma}} : \underline{\underline{d}}$$

for a reversible process this becomes an equality.

$\Rightarrow$  rate of change of free energy is equal to the stress power.